

Fractional Signal Processing And Application

(分數信號處理及應用)

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C. C. Tseng

Outline

1. Introduction to Image Processing
2. Introduction to Fractional Signal Processing
3. Image Sharpening Using Fractional Calculus
4. Image Interpolation Using Fractional Delay
5. Image Watermarking Using Fractional Fourier Transform
6. Conclusions



Image Compression



64 K bytes



8 K bytes



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Image Interpolation



64 x 64



128 x 128



Image Halftoning



Image Sharpening

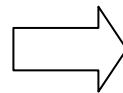


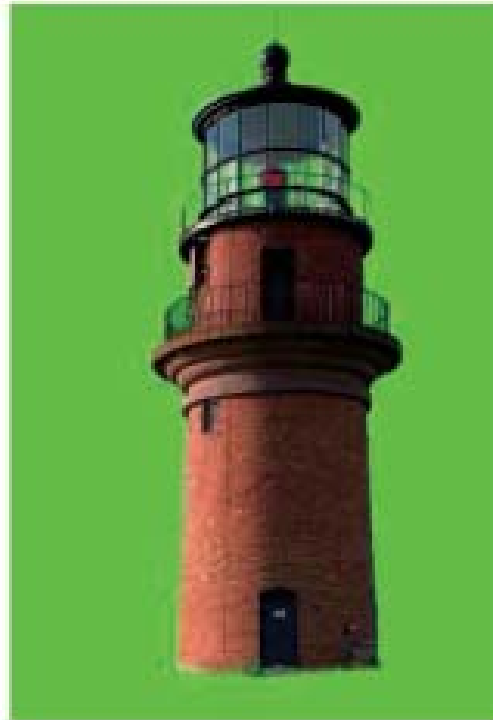
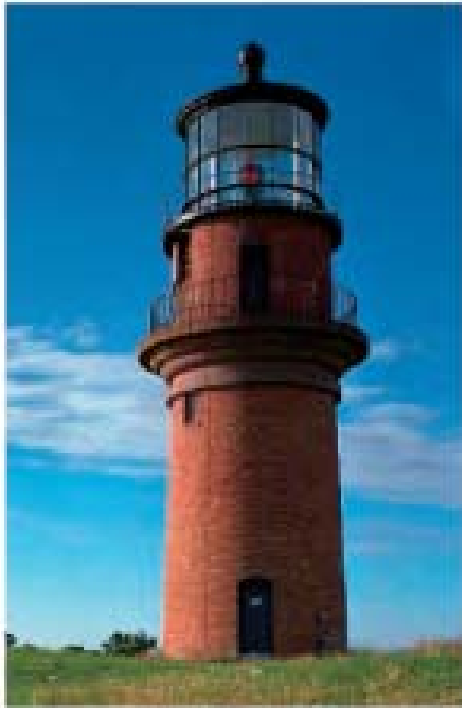
Image Inpainting



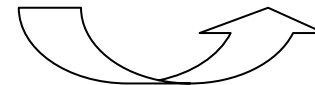
Image Inpainting



Image Matting



移花



接木



Image Binarization



Lane Detection



(a)



(b)



(c)



(d)



Feature Extraction



L-shape:

[0.879 2.862 5.225 4.693 10.339 6.237 9.666]

Triangle:

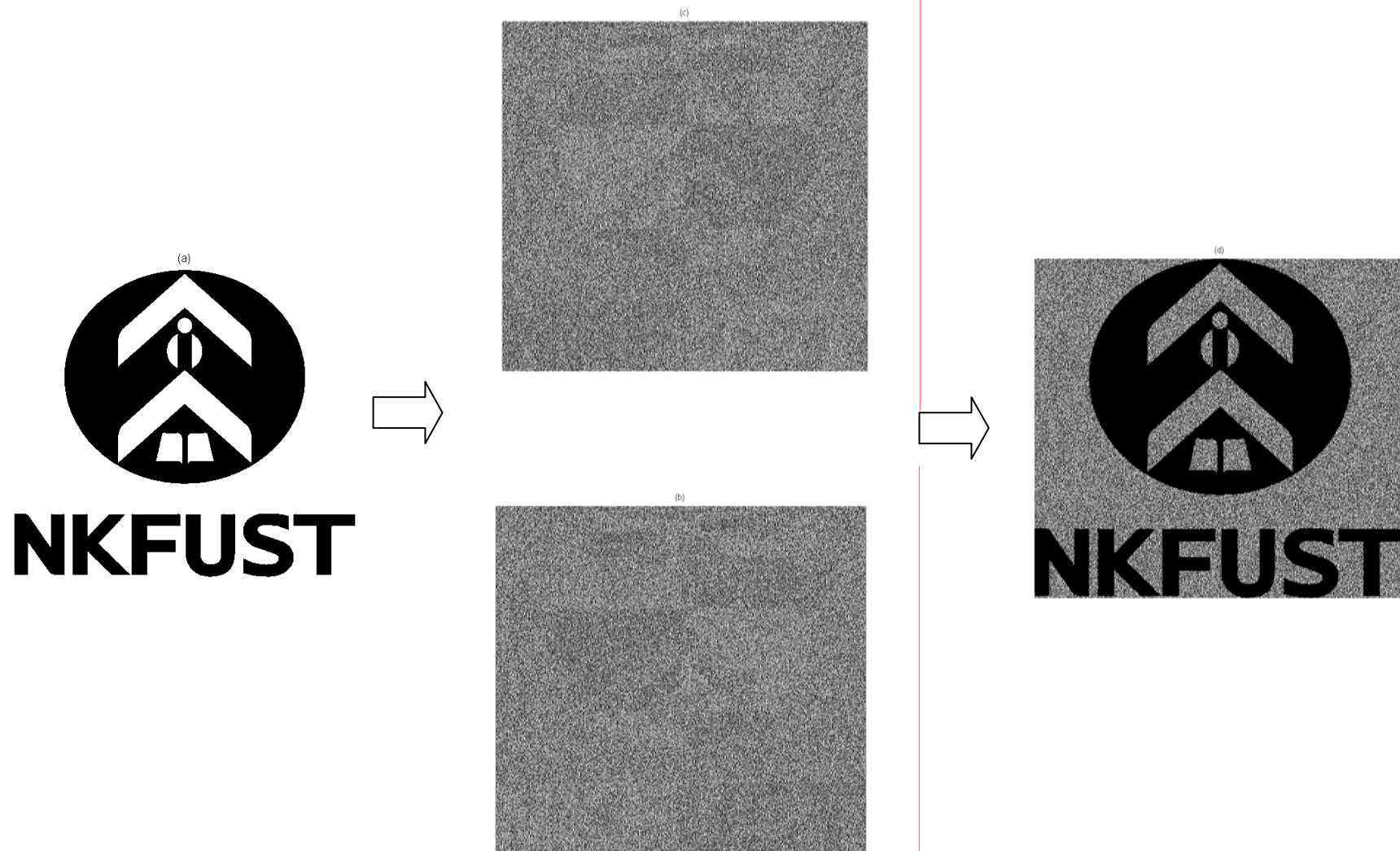
[0.925 2.869 7.937 5.454 12.425 6.894 12.409]

Trapezoid:

[0.918 2.938 6.512 5.874 12.679 7.369 11.928]



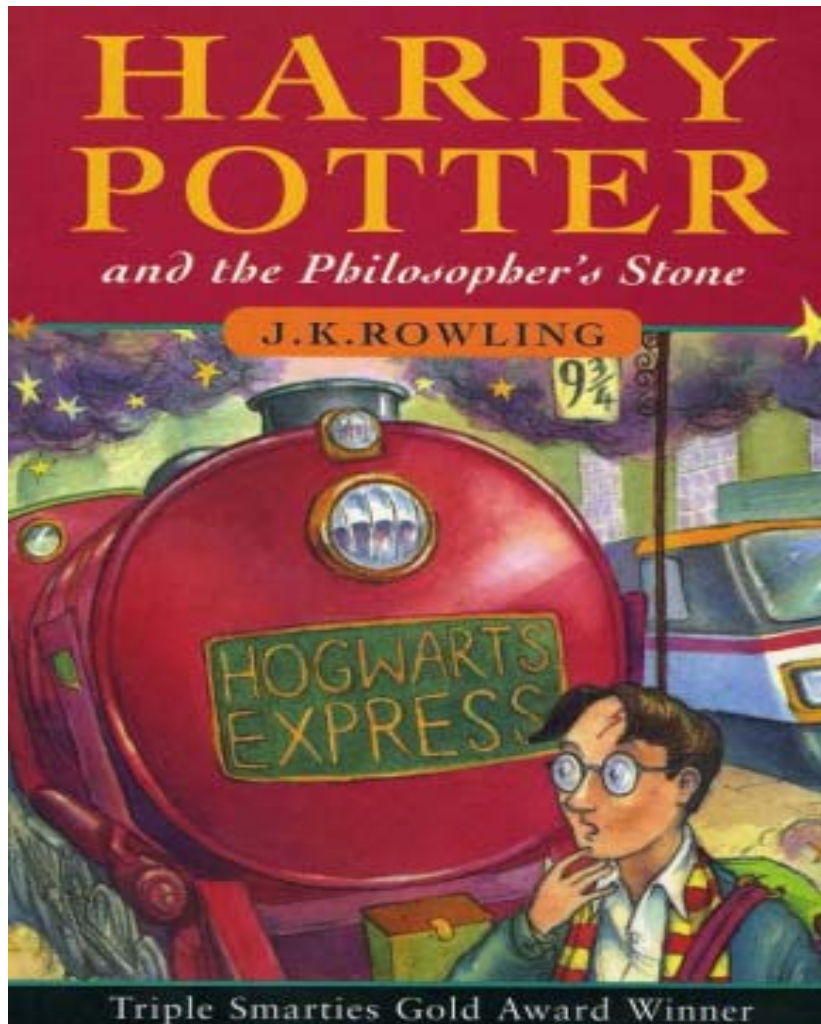
Visual Cryptograph



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Fractional Platform



— CHAPTER SIX —

The Journey from Platform Nine and Three-Quarters

Harry's last month with the Dursleys wasn't fun. True, Dudley was now so scared of Harry he wouldn't stay in the same room, while Aunt Petunia and Uncle Vernon didn't shut Harry in his cupboard, force him to do anything or shout at him – in fact, they didn't speak to him at all. Half-terrified, half-furious, they acted as though any chair with Harry in it was empty. Although this was an improvement in many ways, it did become a bit depressing after a while.

Harry kept to his room, with his new owl for company. He had decided to call her Hedwig, a name he had found in *A History of Magic*. His school books were very interesting. He lay on his bed reading late into the night, Hedwig swooping in and out of the open window as she pleased. It was lucky that Aunt Petunia didn't come in to Hoover any more, because Hedwig kept bringing back dead mice. Every night before he went to sleep, Harry ticked off another day on the piece of paper he had pinned to the wall, counting down to September the first.



Fractional Calculus



Leibnitz

$$\frac{d^n y}{dx^n}$$

n : non-integer ?

$$\frac{d^{\frac{1}{2}} y}{dx^{\frac{1}{2}}} \quad ?$$

paradox



L'Hopital



Fractional Calculus

People who have worked on fractional calculus.

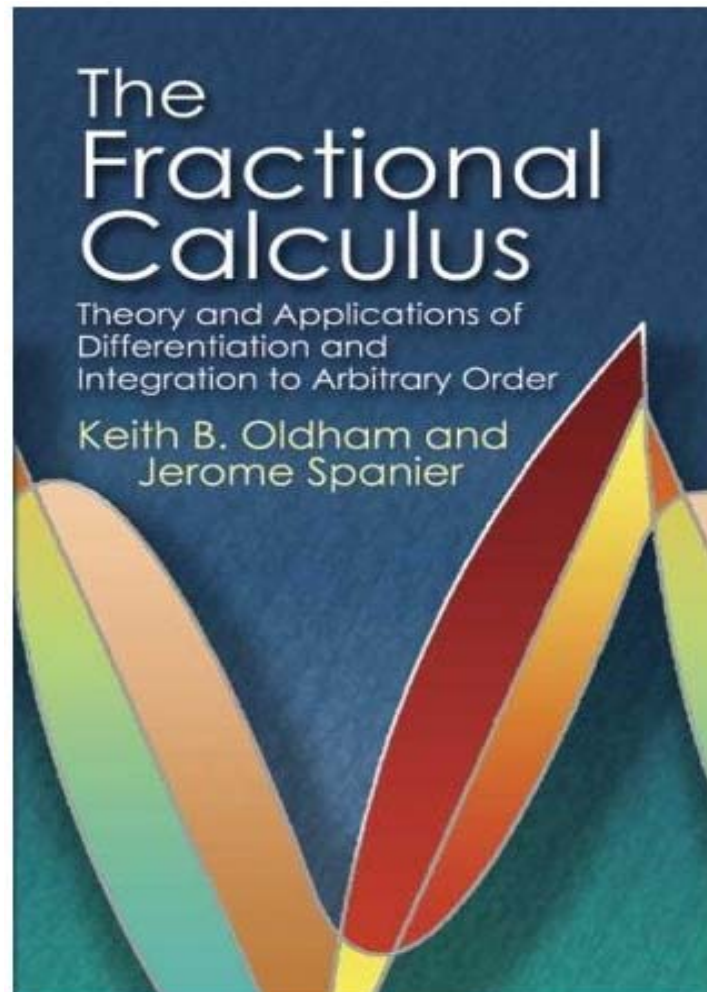
- Euler (1730)
- Lagrange (1772)
- Laplace (1812)
- Fourier (1822)
- Riemann (1847)

For almost 300 years the investigation was mostly mathematical.

Only during last 30 years the mathematical deductions found some physical and engineering relevance.



Fractional Calculus



- 1695 G. W. Leibniz, Letter from Hanover, Germany, September 30, 1695 to G. A. L'Hospital. *Leibnizen Mathematische Schriften*, Vol. 2, pp. 301–302. Olms Verlag., Hildesheim, Germany, 1962. First published in 1849.

Leibniz wrote prophetically, “Thus it follows that $d^{\frac{1}{2}}x$ will be equal to $x^{\frac{1}{2}}dx : x$, an apparent paradox, from which one day useful consequences will be drawn.”

- 1697 G. W. Leibniz, Letter from Hanover, Germany, May 28, 1697 to J. Wallis, *Leibnizen Mathematische Schriften*, Vol. 4, p. 25. Olms Verlag., Hildesheim, Germany, 1962. First published in 1859.

In this letter Leibniz discusses Wallis’ infinite product for π . Leibniz mentions differential calculus and uses the notation $d^{\frac{1}{2}}y$ to denote a derivative of order $\frac{1}{2}$.

- 1730 L. Euler, “De Progressionibus Transcendentibus, seu Quarum Termini Algebraice Dari Nequeunt.” *Comment. Acad. Sci. Imperialis Petropolitanae* 5, 38–57 (1738).

⋮

- 1972 T. R. Prabhakar, “Hypergeometric Integral Equations of a General Kind and Fractional Integration.” *SIAM J. Math. Anal.* 3, 422–425.

Some integral equations containing hypergeometric functions in two variables are studied with the use of fractional integration.

- 1974 To appear in late 1974 or early 1975 B. Ross, “A Profile of Fractional Calculus,” Chapter V. Ph.D. Thesis, New York Univ., New York.

- 1975 The Proceedings of the University of New Haven Colloquium on Fractional Calculus and Its Applications to the Mathematical Sciences to be held June 1974 is expected to be published late in 1975.



Fractional Calculus

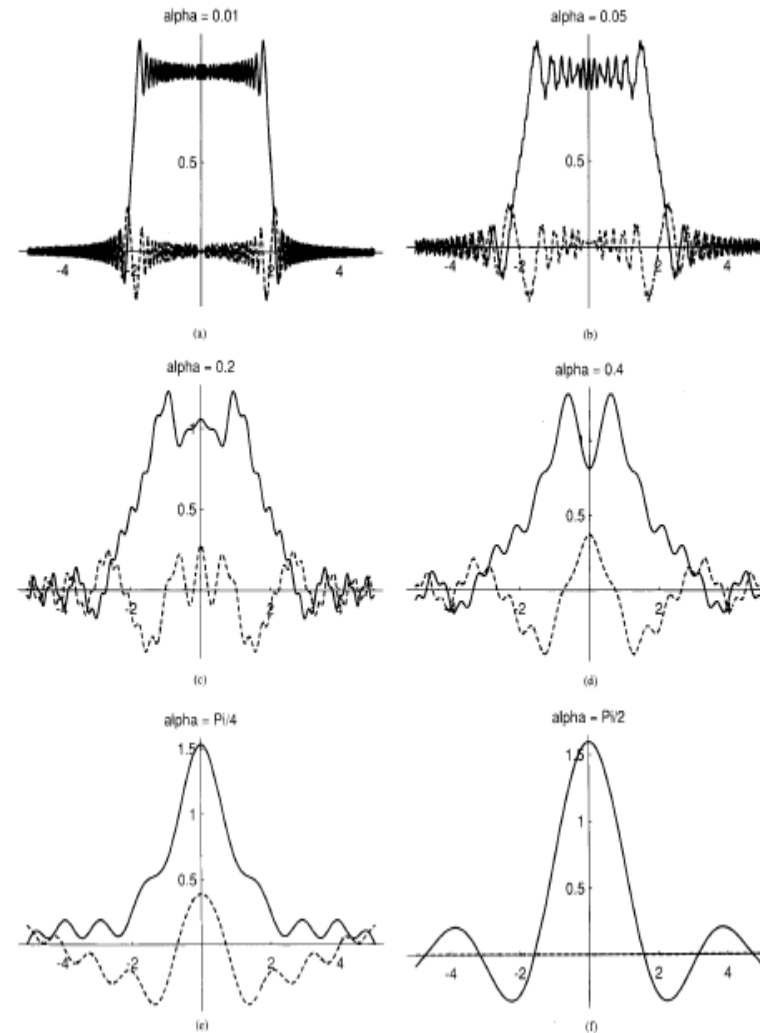
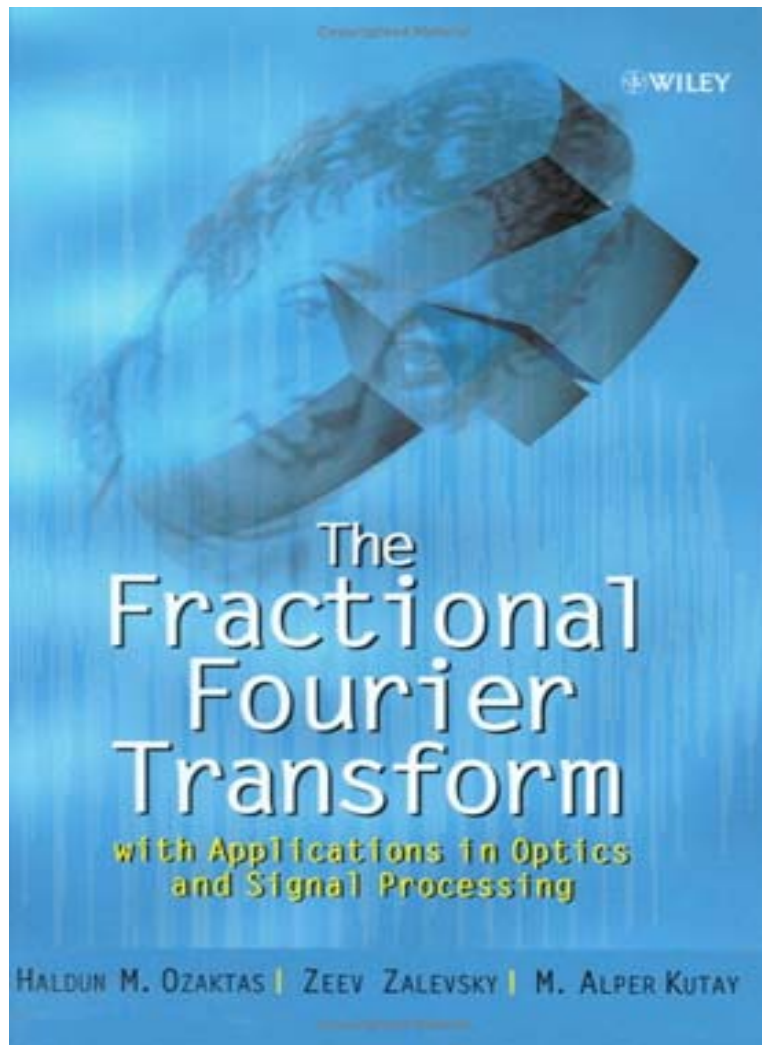


IFAC Workshop on Fractional Differentiation and its Applications

1. First: Bordeaux France, July 19-21, 2004
2. Second: Porto, Portugal, July 19-21, 2006
3. Third: Ankara Turkey, Nov. 2008

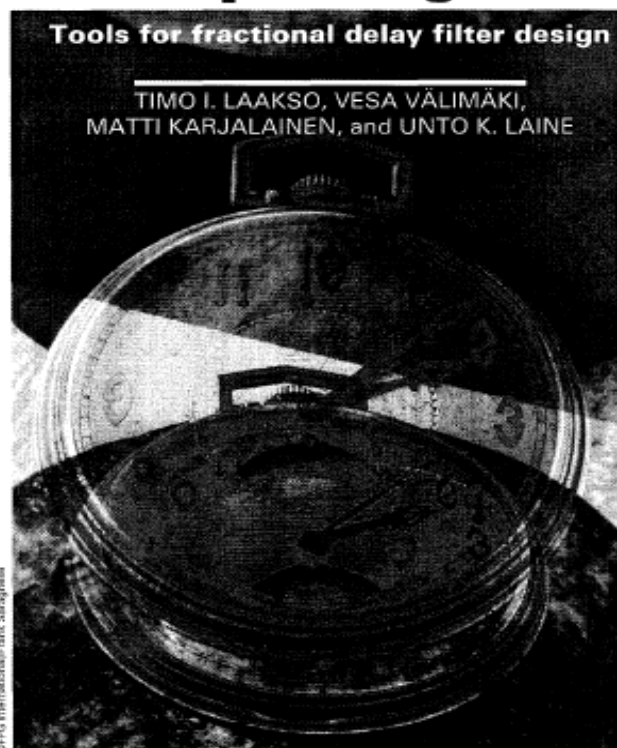


Fractional Transform



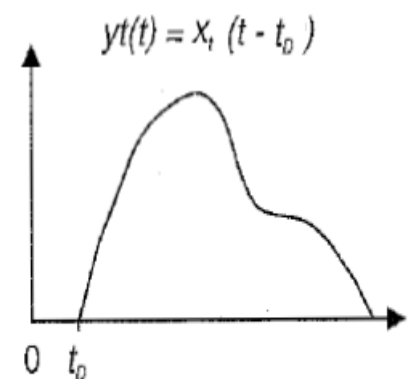
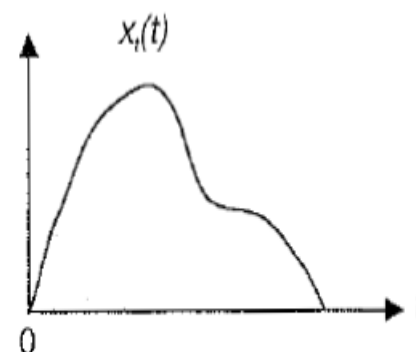
Fractional Delay

Splitting

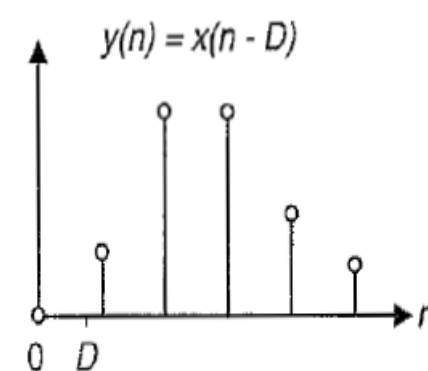
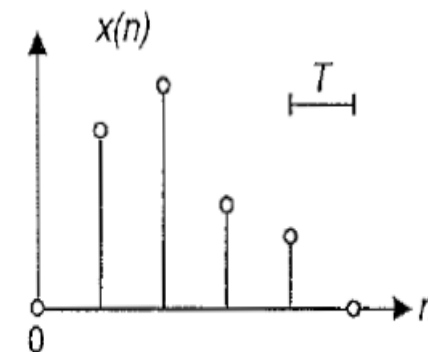


the Unit Delay

IEEE Signal Processing Magazine (Jan. 1996)



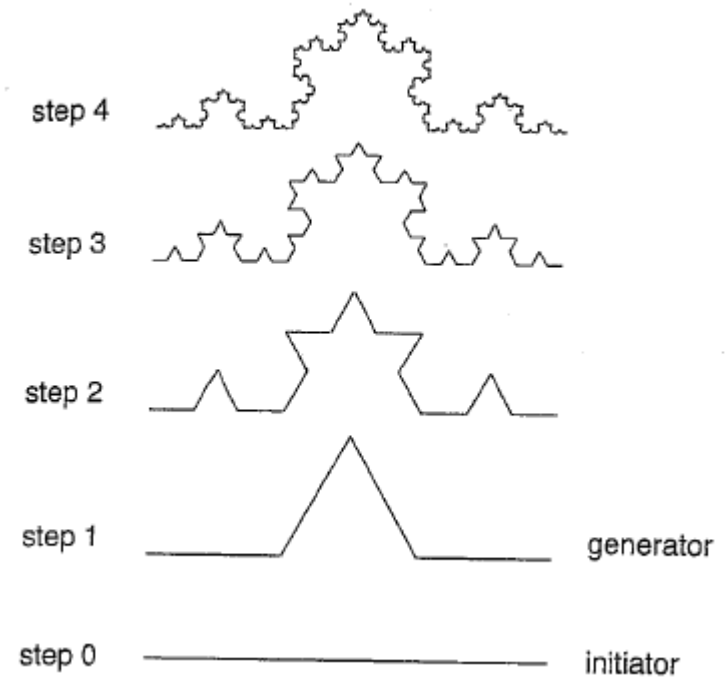
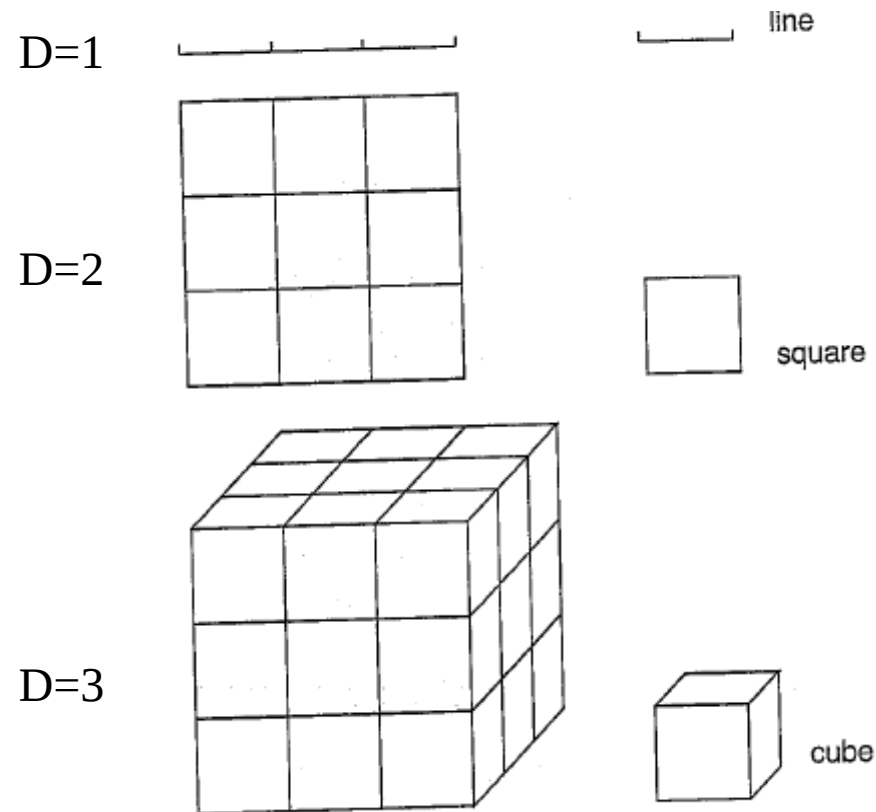
(a)



(b)



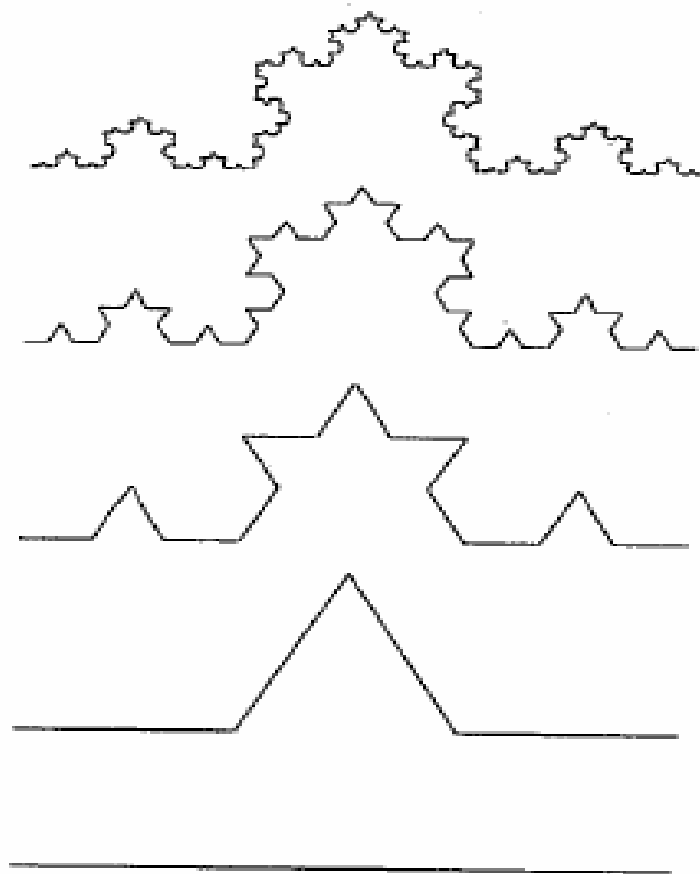
Fractal Dimension



Koch curve: $D=1.2619$



Length of Fractal



$$length = \left(\frac{4}{3}\right)^4$$

$$length = \left(\frac{4}{3}\right)^3$$

$$length = \left(\frac{4}{3}\right)^2$$

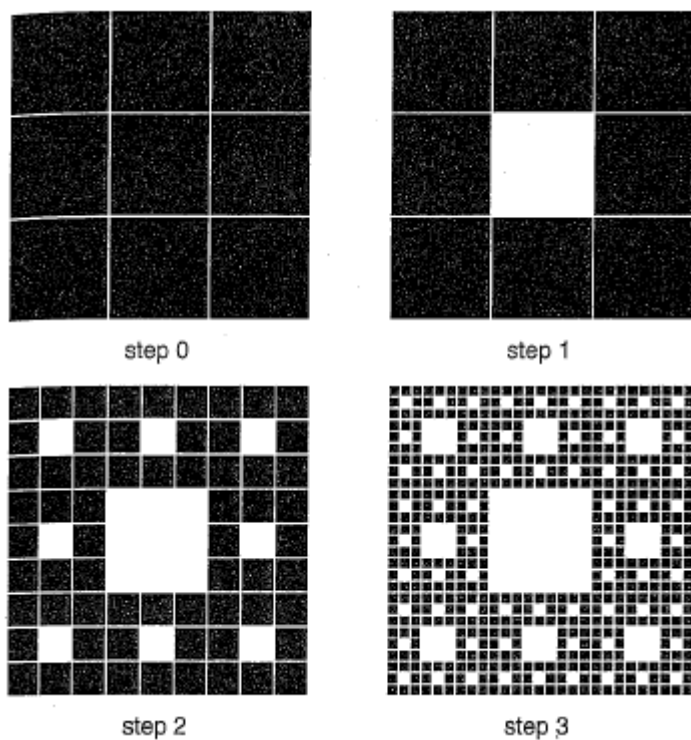
$$length = \left(\frac{4}{3}\right)^1$$

$$length = \left(\frac{4}{3}\right)^0 = 1$$



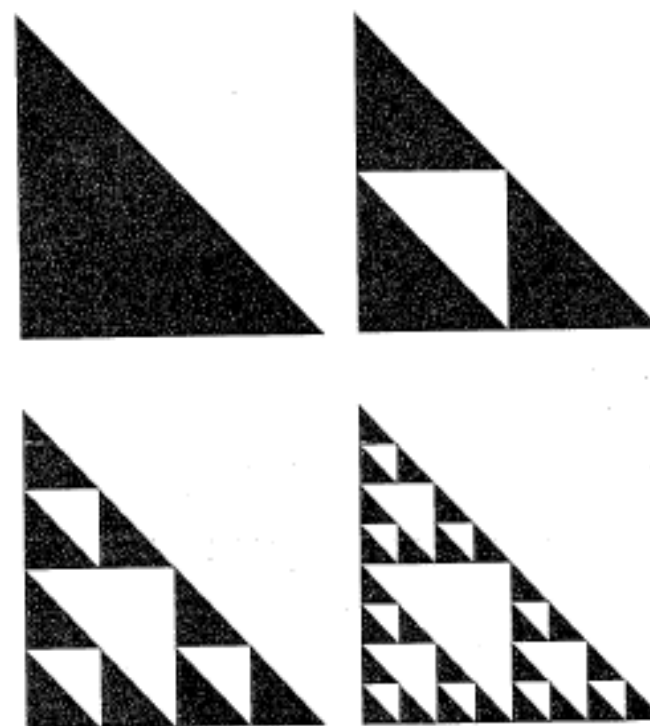
Fractal Dimension

Sierpinski Carpet



$$D = \log(n) / \log(s) = \log(8) / \log(3) = 1.8928$$

Sierpinski Gasket



$$D = \log(n) / \log(s) = \log(3) / \log(2) = 1.5850$$



Fractional Signal Processing

Fractional Operator:

1. Fractional Calculus
2. Fractional Delay
3. Fractional Fourier Transform

Fractional measure:

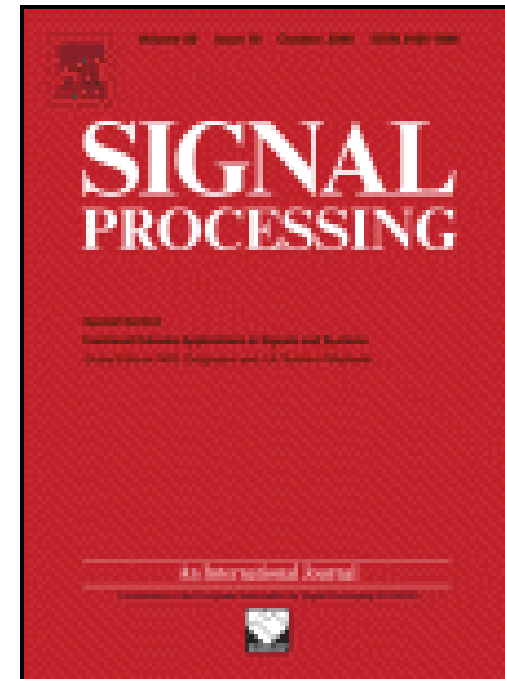
1. Fractal Dimension
2. Fractional Moment



Fractional Signal Processing

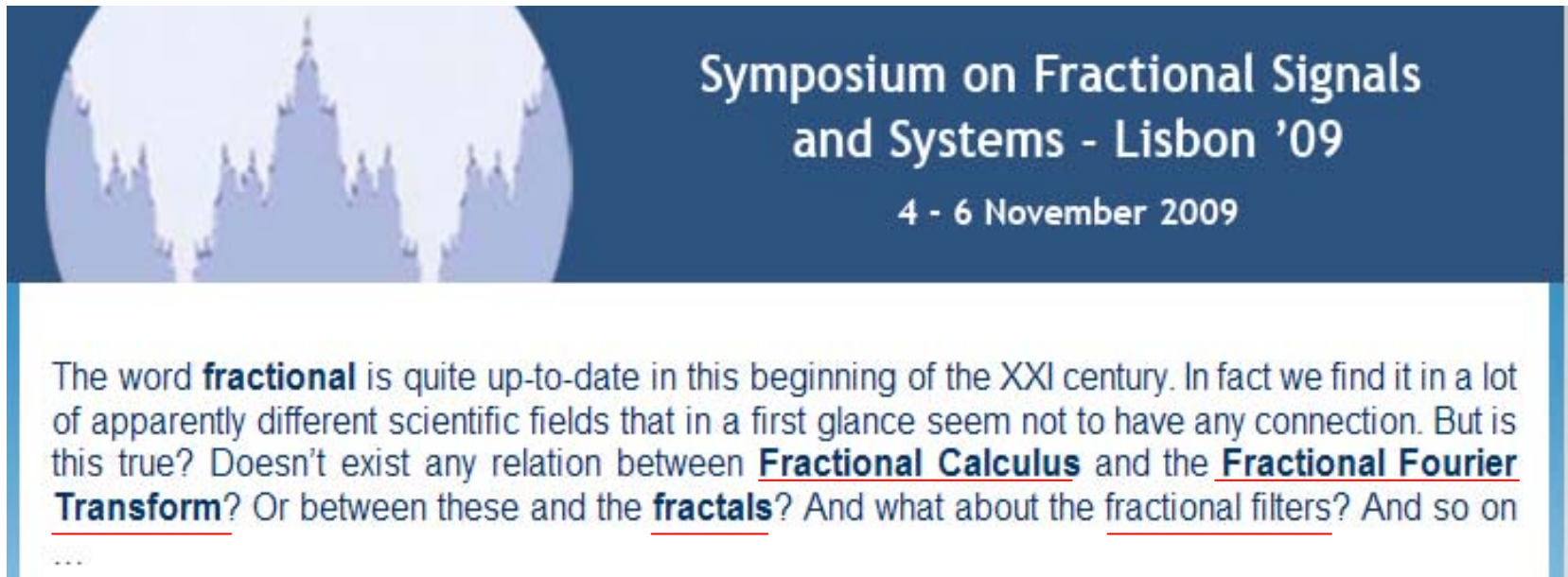
Special Issue:

1. Fractional Signal Processing and Applications, (vol. 83, No.11, Nov. 2003).
2. Fractional Calculus Applications in Signals and Systems (vol. 86, No.10, Oct. 2006).
3. Advances in Fractional Signals and Systems (Apr. 2010)



Fractional Signal Processing

Recent symposium: <https://www.fct.unl.pt/fss09/>



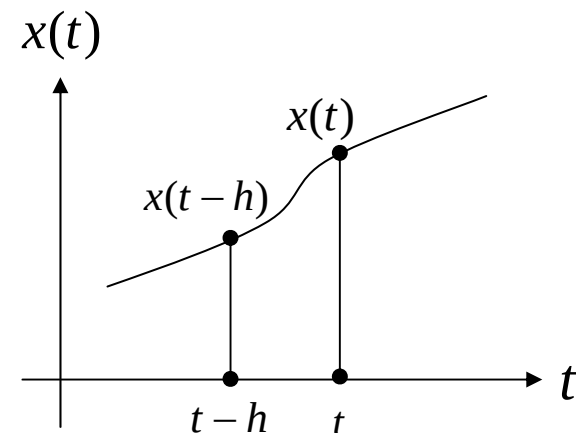
Symposium on Fractional Signals
and Systems - Lisbon '09
4 - 6 November 2009

The word **fractional** is quite up-to-date in this beginning of the XXI century. In fact we find it in a lot of apparently different scientific fields that in a first glance seem not to have any connection. But is this true? Doesn't exist any relation between Fractional Calculus and the Fractional Fourier Transform? Or between these and the fractals? And what about the fractional filters? And so on
...

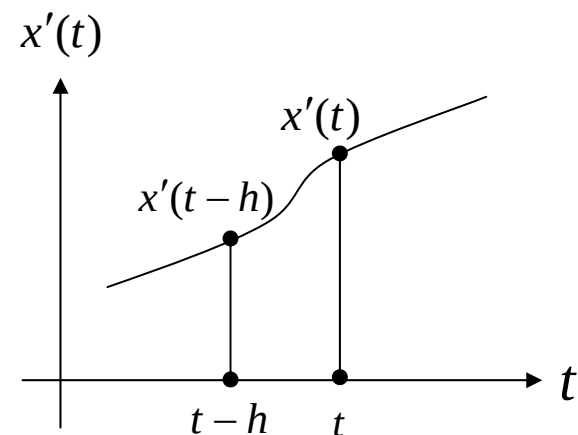


Fractional Calculus - Definition

$$x'(t) = \lim_{h \rightarrow 0} \frac{x(t) - x(t-h)}{h}$$



$$\begin{aligned} x''(t) &= \lim_{h \rightarrow 0} \frac{x'(t) - x'(t-h)}{h} \\ &= \lim_{h \rightarrow 0} \frac{\lim_{h \rightarrow 0} \frac{x(t) - x(t-h)}{h} - \lim_{h \rightarrow 0} \frac{x(t-h) - x(t-2h)}{h}}{h} \\ &= \lim_{h \rightarrow 0} \frac{x(t) - 2x(t-h) + x(t-2h)}{h^2} \end{aligned}$$



Fractional Calculus - Definition

$$\begin{aligned}x'''(t) &= \lim_{h \rightarrow 0} \frac{x''(t) - x''(t-h)}{h} \\&= \lim_{h \rightarrow 0} \frac{\lim_{h \rightarrow 0} \frac{x(t) - 2x(t-h) + x(t-2h)}{h^2} - \lim_{h \rightarrow 0} \frac{x(t-h) - 2x(t-2h) + x(t-3h)}{h^2}}{h} \\&= \lim_{h \rightarrow 0} \frac{x(t) - 3x(t-h) + 3x(t-2h) - x(t-3h)}{h^3}\end{aligned}$$

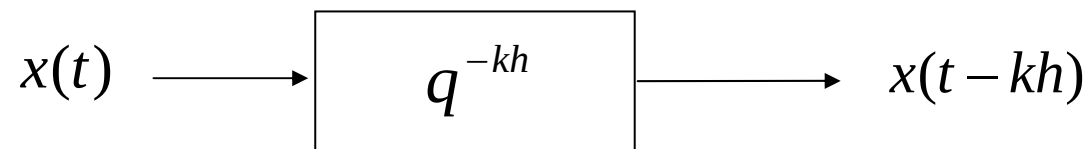
Similarly

$$\begin{aligned}x^{(4)}(t) &= \lim_{h \rightarrow 0} \frac{x'''(t) - x'''(t-h)}{h} \\&= \lim_{h \rightarrow 0} \frac{x(t) - 4x(t-h) + 6x(t-2h) - 4x(t-3h) + x(t-4h)}{h^4}\end{aligned}$$



Fractional Calculus - Definition

Define delay operator:



Then, from the equalities

$$(1 - q^{-h})^1 = 1 - q^{-h}$$

$$(1 - q^{-h})^2 = 1 - 2q^{-h} + q^{-2h}$$

$$(1 - q^{-h})^3 = 1 - 3q^{-h} + 3q^{-2h} - q^{-3h}$$

$$(1 - q^{-h})^4 = 1 - 4q^{-h} + 6q^{-2h} - 4q^{-3h} + q^{-4h}$$

$\vdots$

$$(1 - q^{-h})^m = \sum_{k=0}^m \binom{m}{k} (-q^{-h})^k = \sum_{k=0}^m (-1)^k \binom{m}{k} q^{-kh}$$



Fractional Calculus - Definition

we have

$$\begin{array}{lll} x(t) & \longrightarrow & \boxed{\lim_{h \rightarrow 0} \frac{1}{h} (1 - q^{-h})^1} \longrightarrow \lim_{h \rightarrow 0} \frac{x(t) - x(t-h)}{h} = x'(t) \\ x(t) & \longrightarrow & \boxed{\lim_{h \rightarrow 0} \frac{1}{h^2} (1 - q^{-h})^2} \longrightarrow \lim_{h \rightarrow 0} \frac{x(t) - 2x(t-h) + x(t-2h)}{h^2} = x''(t) \\ x(t) & \longrightarrow & \boxed{\lim_{h \rightarrow 0} \frac{1}{h^3} (1 - q^{-h})^3} \longrightarrow \lim_{h \rightarrow 0} \frac{x(t) - 3x(t-h) + 3x(t-2h) - x(t-3h)}{h^3} = x'''(t) \\ & & \vdots \end{array}$$

$$\Rightarrow x^{(m)}(t) = \lim_{h \rightarrow 0} \frac{(1 - q^{-h})^m}{h^m} x(t)$$

where $m = 0, 1, 2, 3, \dots$



Fractional Calculus - Definition

$$x^{(m)}(t) = \lim_{h \rightarrow 0} \frac{(1 - q^{-h})^m}{h^m} x(t)$$

Change integer m
to fractional ν

$$x^{(\nu)}(t) = \lim_{h \rightarrow 0} \frac{(1 - q^{-h})^\nu}{h^\nu} x(t)$$



Fractional Calculus - Definition

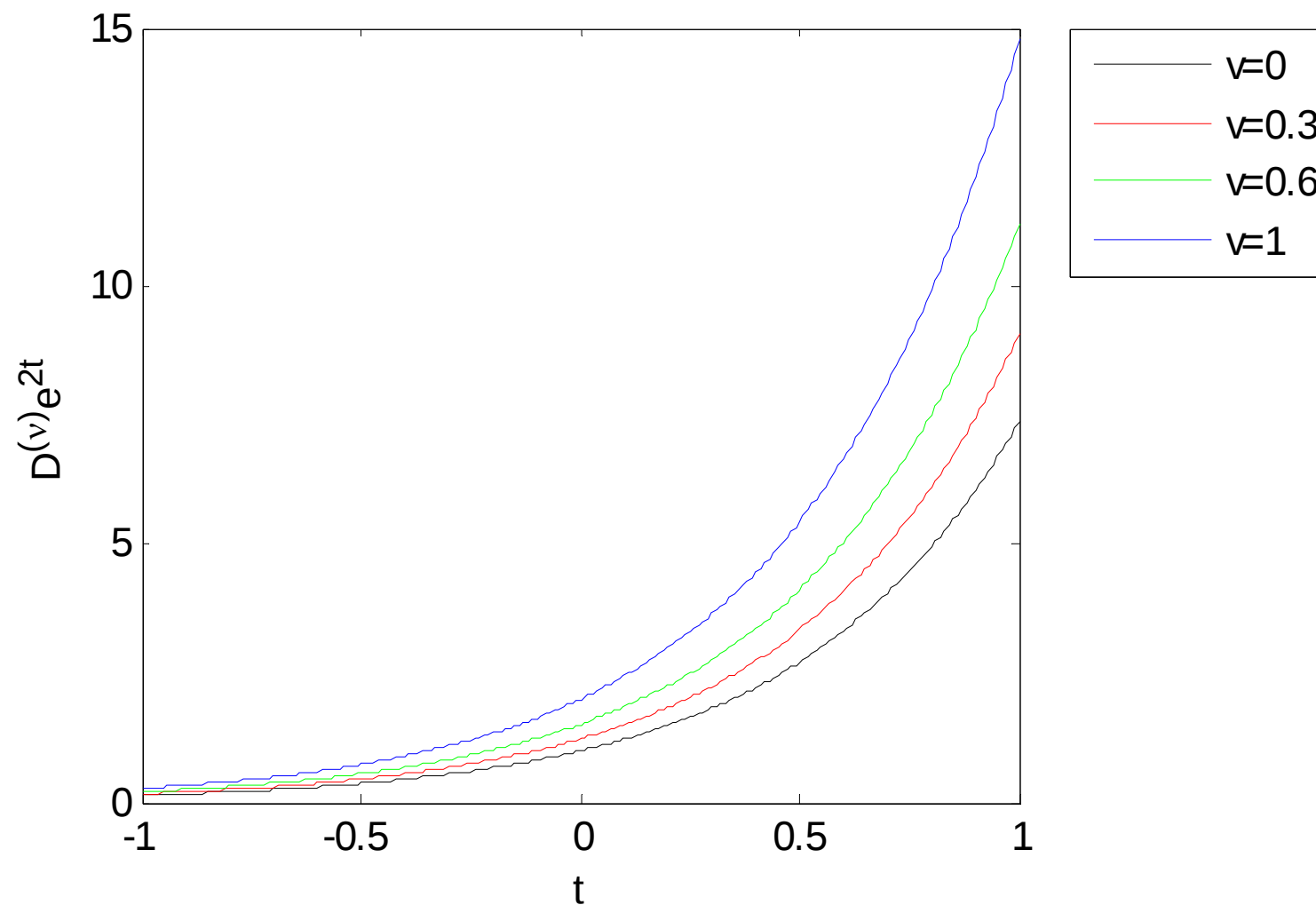
Fractional derivative:

$$\begin{aligned}x^{(\nu)}(t) &= \lim_{h \rightarrow 0} \frac{(1 - q^{-h})^\nu}{h^\nu} x(t) \\&= \lim_{h \rightarrow 0} \frac{1}{h^\nu} \left(\sum_{k=0}^{\infty} (-1)^k \binom{\nu}{k} q^{-kh} \right) x(t) \\&= \lim_{h \rightarrow 0} \sum_{k=0}^{\infty} \frac{(-1)^k \binom{\nu}{k}}{h^\nu} x(t - kh)\end{aligned}$$

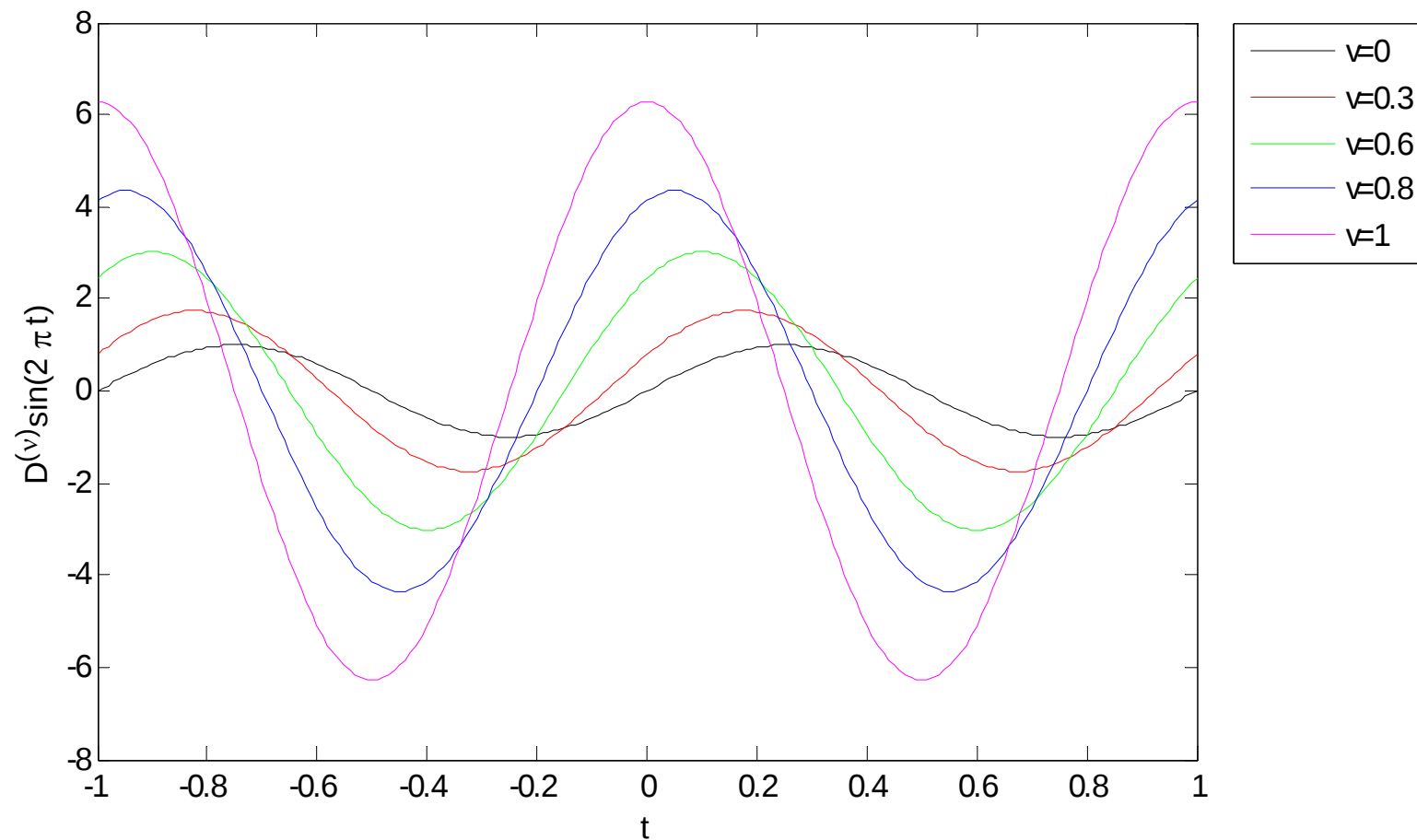
Grünwald -Letnikov
derivative



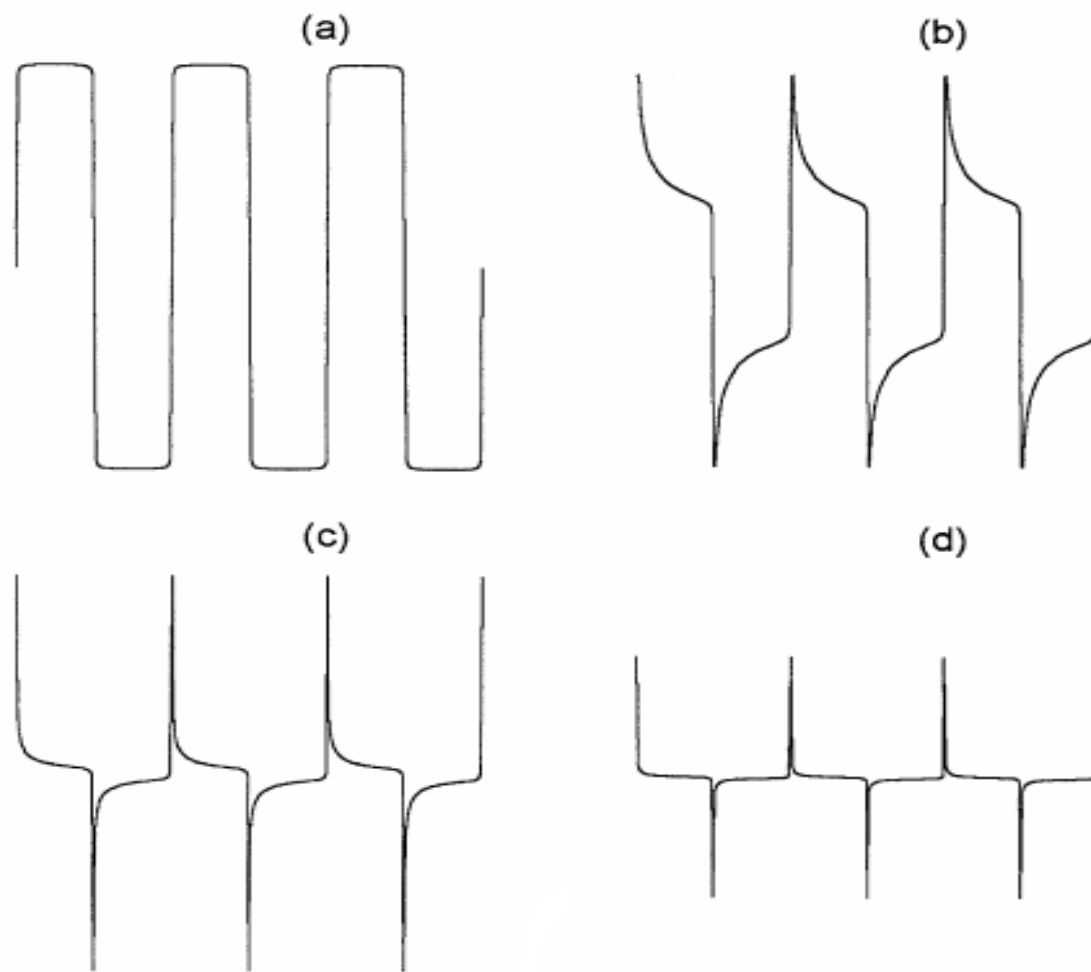
Fractional Calculus - Example



Fractional Calculus - Example



Fractional Calculus - Example



(a) $v = 0$, (b) $v = 0.2$, (c) $v = 0.5$, (d) $v = 0.7$.



Fractional Calculus - Differentiator

Fourier transform pairs:

$$\begin{aligned} X(\omega) &= \int_{-\infty}^{\infty} x(t) e^{-j\omega t} dt \\ x(t) &= \frac{1}{2\pi} \int_{-\infty}^{\infty} X(\omega) e^{j\omega t} d\omega \end{aligned} \quad x(t) \xleftrightarrow{FT} X(\omega)$$

Using fractional differential operator D^ν , we have

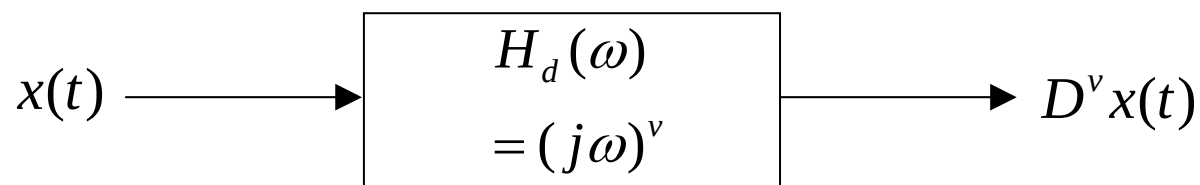
$$\begin{aligned} D^\nu x(t) &= D^\nu \left[\frac{1}{2\pi} \int_{-\infty}^{\infty} X(\omega) e^{j\omega t} dt \right] \\ &= \frac{1}{2\pi} \int_{-\infty}^{\infty} X(\omega) D^\nu [e^{j\omega t}] dt \\ &= \frac{1}{2\pi} \int_{-\infty}^{\infty} X(\omega) (j\omega)^\nu e^{j\omega t} dt \\ &= \frac{1}{2\pi} \int_{-\infty}^{\infty} [(j\omega)^\nu X(\omega)] e^{j\omega t} dt \end{aligned}$$

$$\Rightarrow D^\nu x(t) \xleftrightarrow{FT} (j\omega)^\nu X(\omega)$$

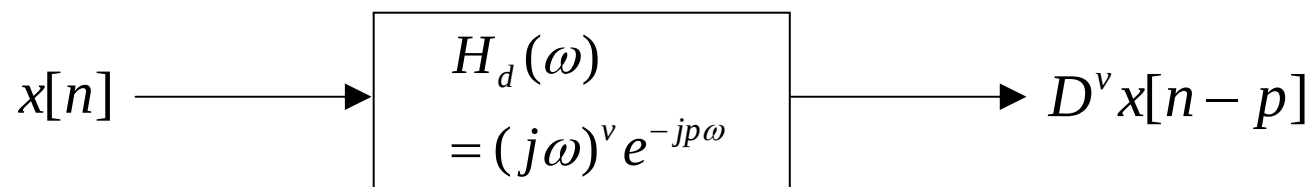


Fractional Calculus - Differentiator

Ideal Frequency Response (Continuous-time case)



Ideal Frequency Response (Discrete-time case)



p : delay value



Fractional Calculus-Application

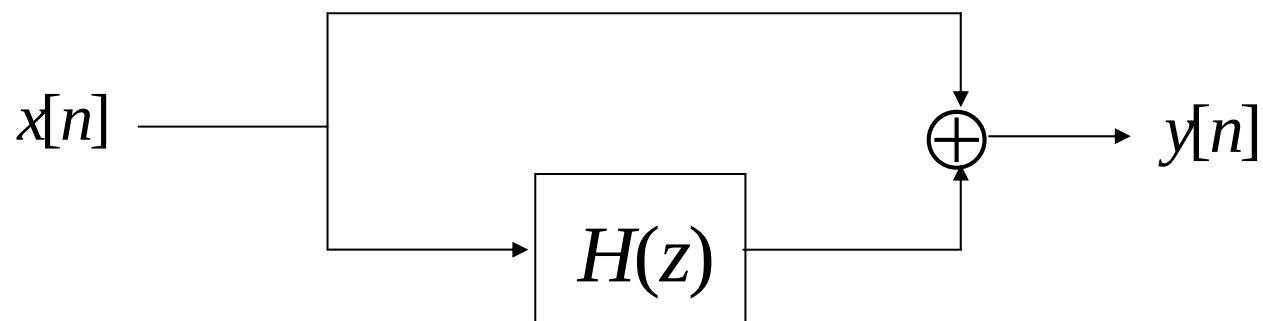
Mach
band
effect

30	60	90	120	150	180	210
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Fractional Calculus - Application

Generate Mach band effect using fractional order differentiator

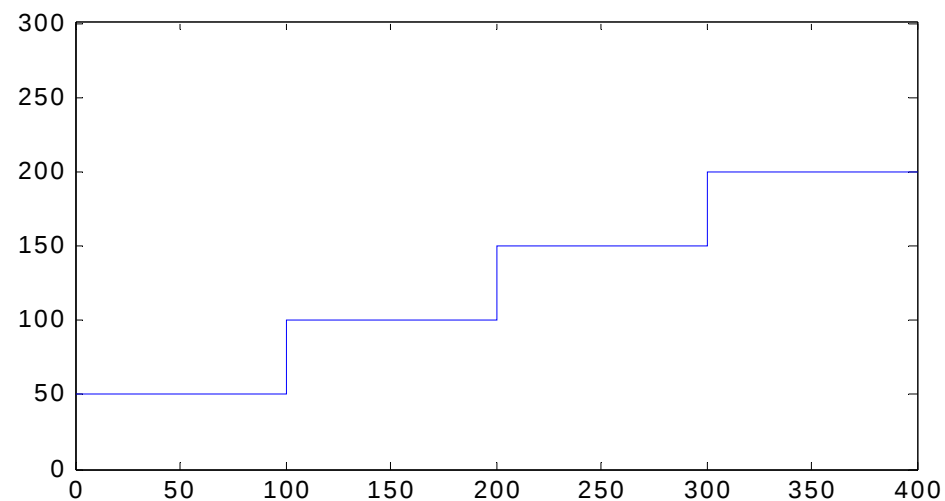


$H(z) : v\text{-th}$ order differentiator

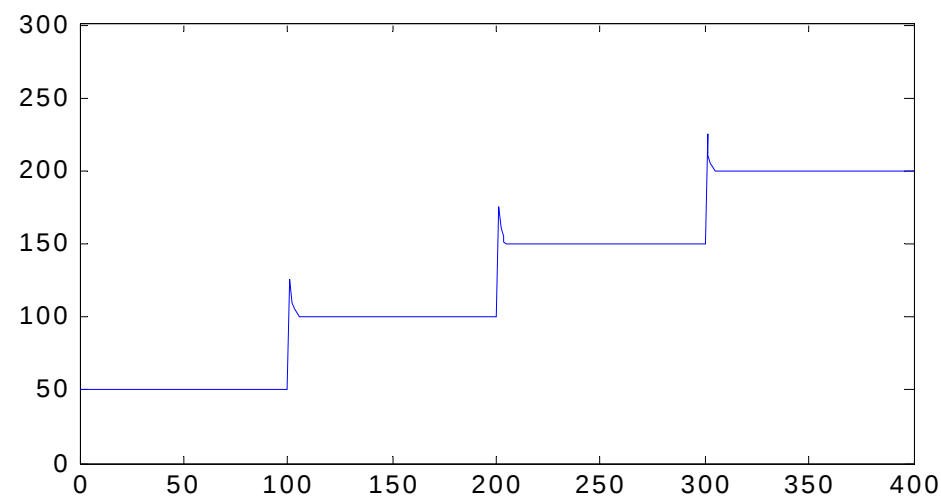
$\Rightarrow$ Not same as the Mach band effect.



Fractional Calculus- Application



$x[n]$

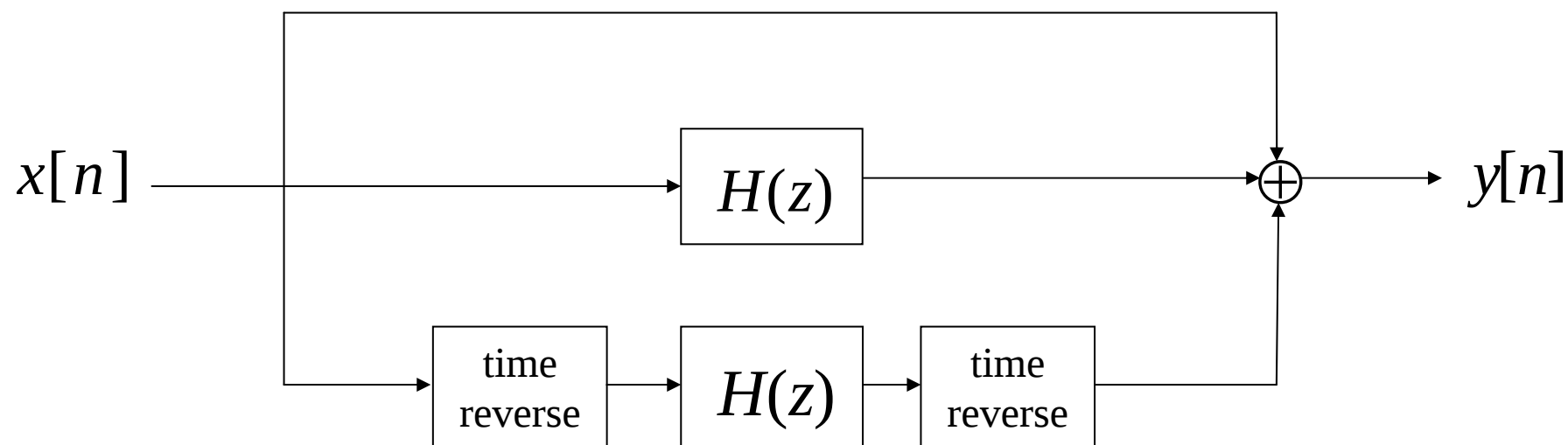


$y[n]$ with
 $v = 0.3$



Fractional Calculus - Application

Generate Mach band effect using two fractional order differentiators

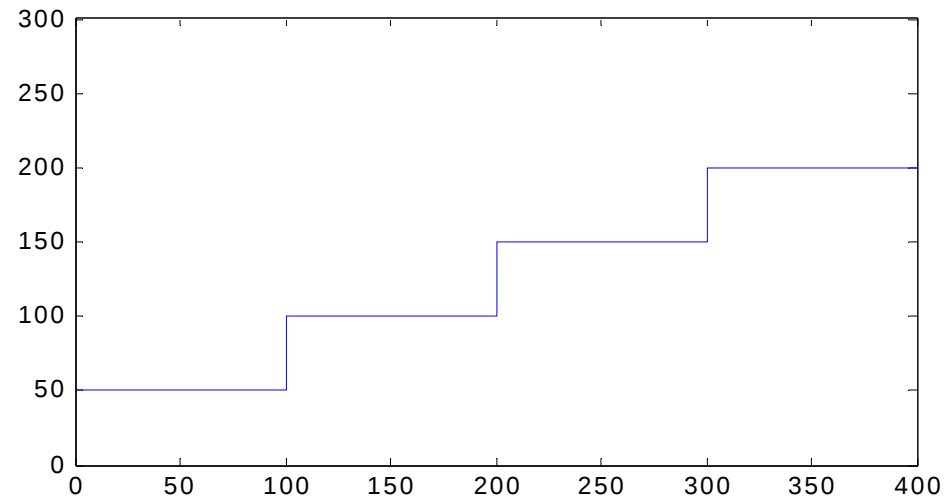


$H(z)$: ν - th order differentiator

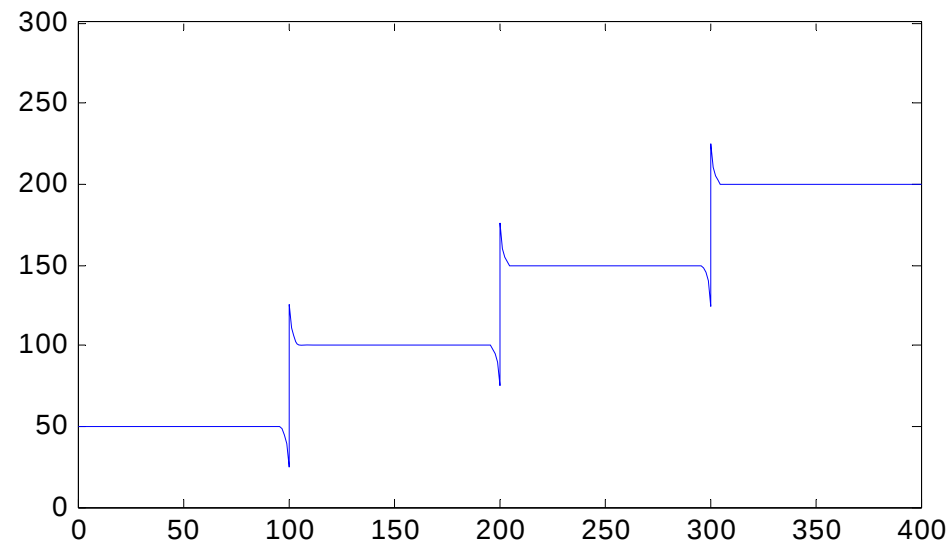
$\Rightarrow$ Same as the Mach band effect.



Fractional Calculus- Application



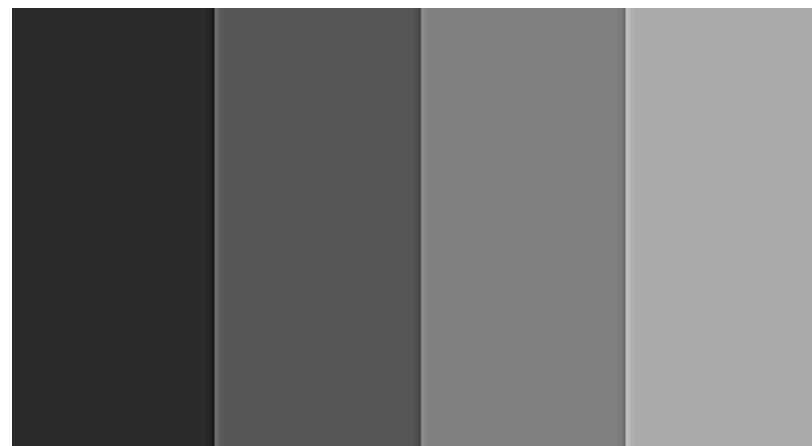
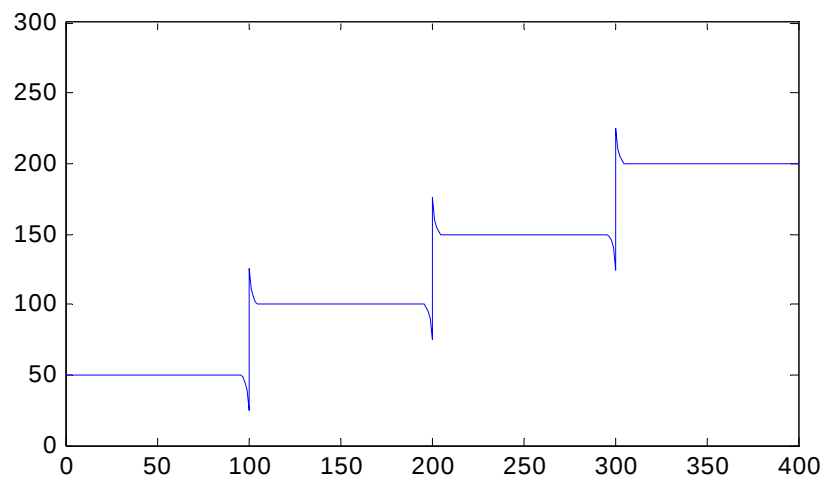
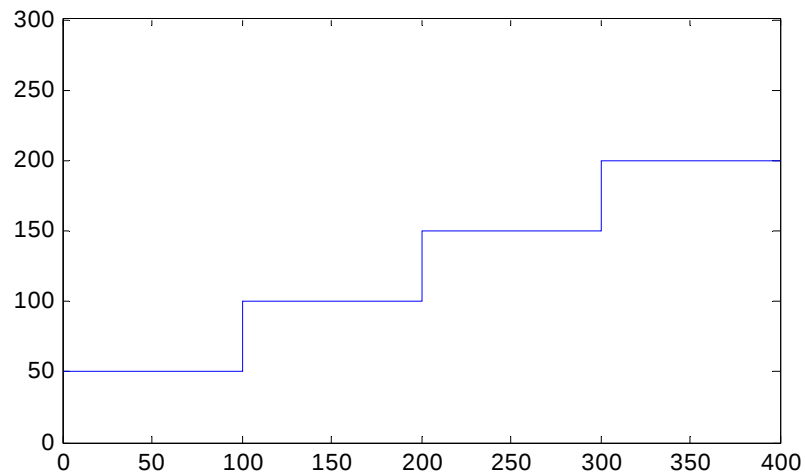
$x[n]$



$y[n]$ with
 $v = 0.3$

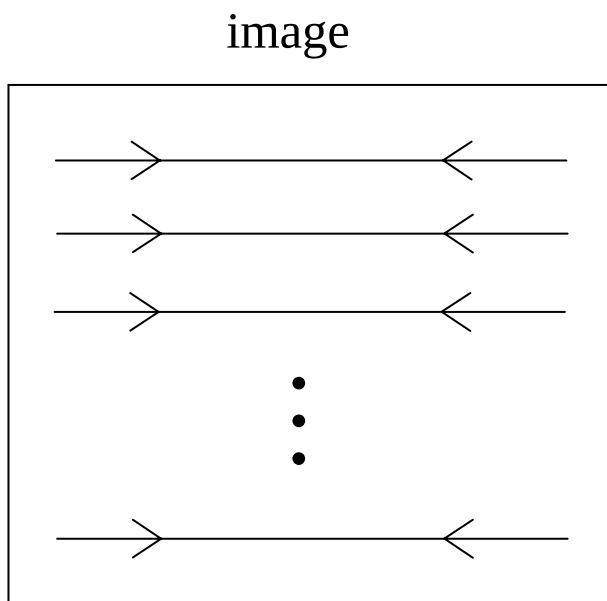


Fractional Calculus- Application

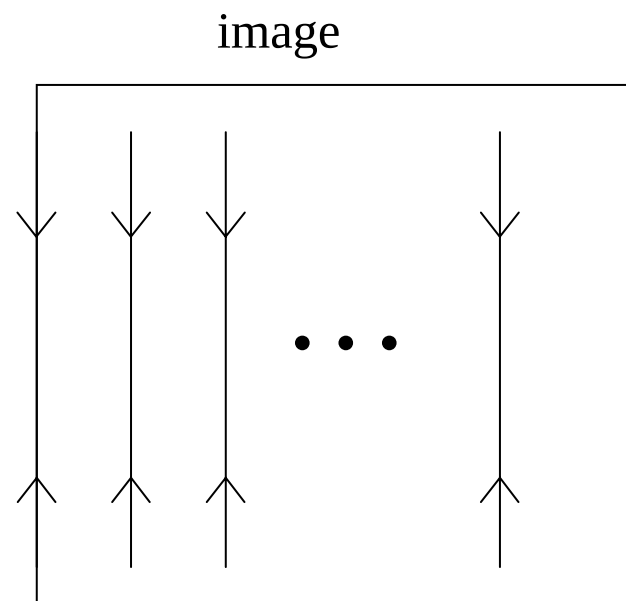


Fractional Calculus - Application

1-D $\longrightarrow$ 2-D :



First: row by row



Second: column by column



Fractional Calculus- Application



original image

$\nu = 0.3$



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Fractional Calculus- Application



$\nu = 0.6$



$\nu = 1$



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Fractional Calculus- Application

Conventional Laplacian Method

original
image

$$f(m, n)$$



sharpened
image

$$p(m, n) = f(m, n) + \nabla^2 f(m, n)$$

Laplacian

$$\begin{aligned} \nabla^2 f(m, n) = & -f(m-1, n) - f(m, n-1) \\ & + 4f(m, n) - f(m, n+1) - f(m+1, n) \end{aligned}$$



Fractional Calculus- Application



original image



Laplacian method



Fractional Calculus- Application



$\nu = 0.3$



Laplacian method



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Fractional Calculus- Application



original image



Fractional Calculus- Application



$$\nu = 0.3$$



Fractional Calculus- Application



$$\nu = 0.6$$



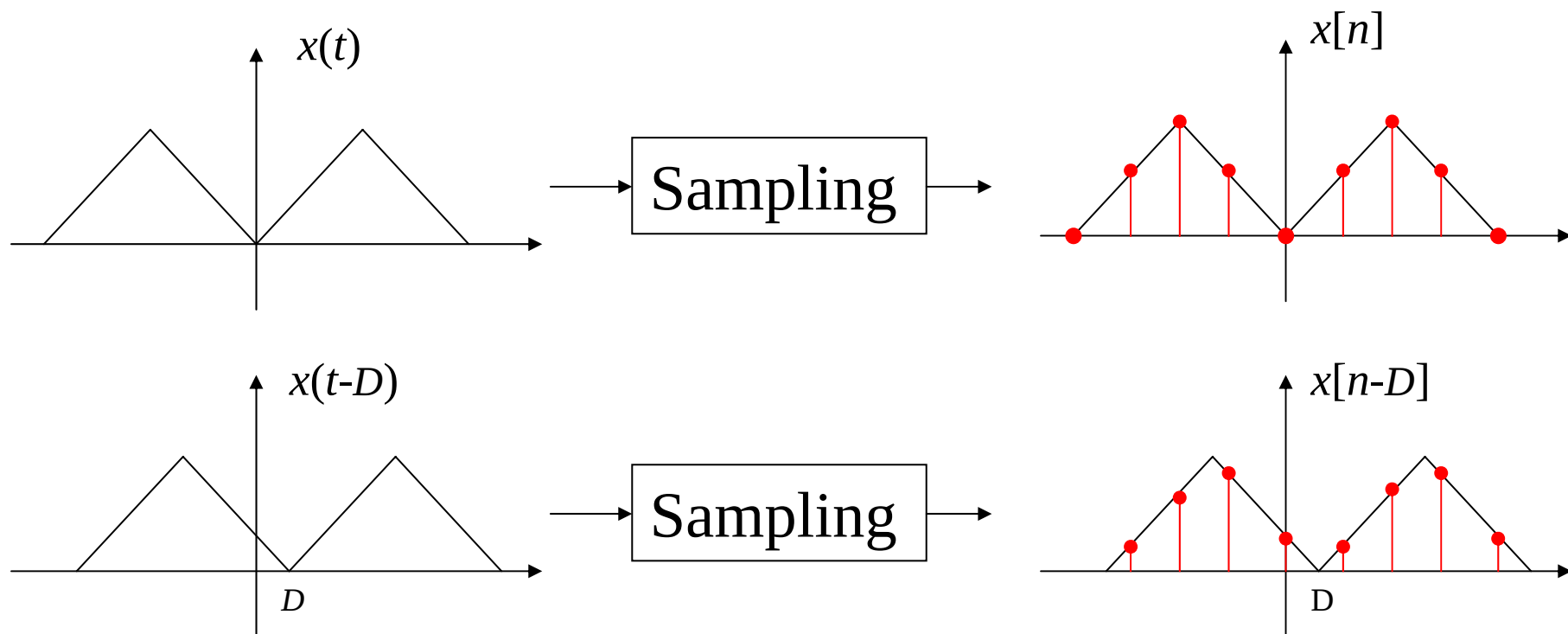
Fractional Calculus- Application



$$\nu = 1$$



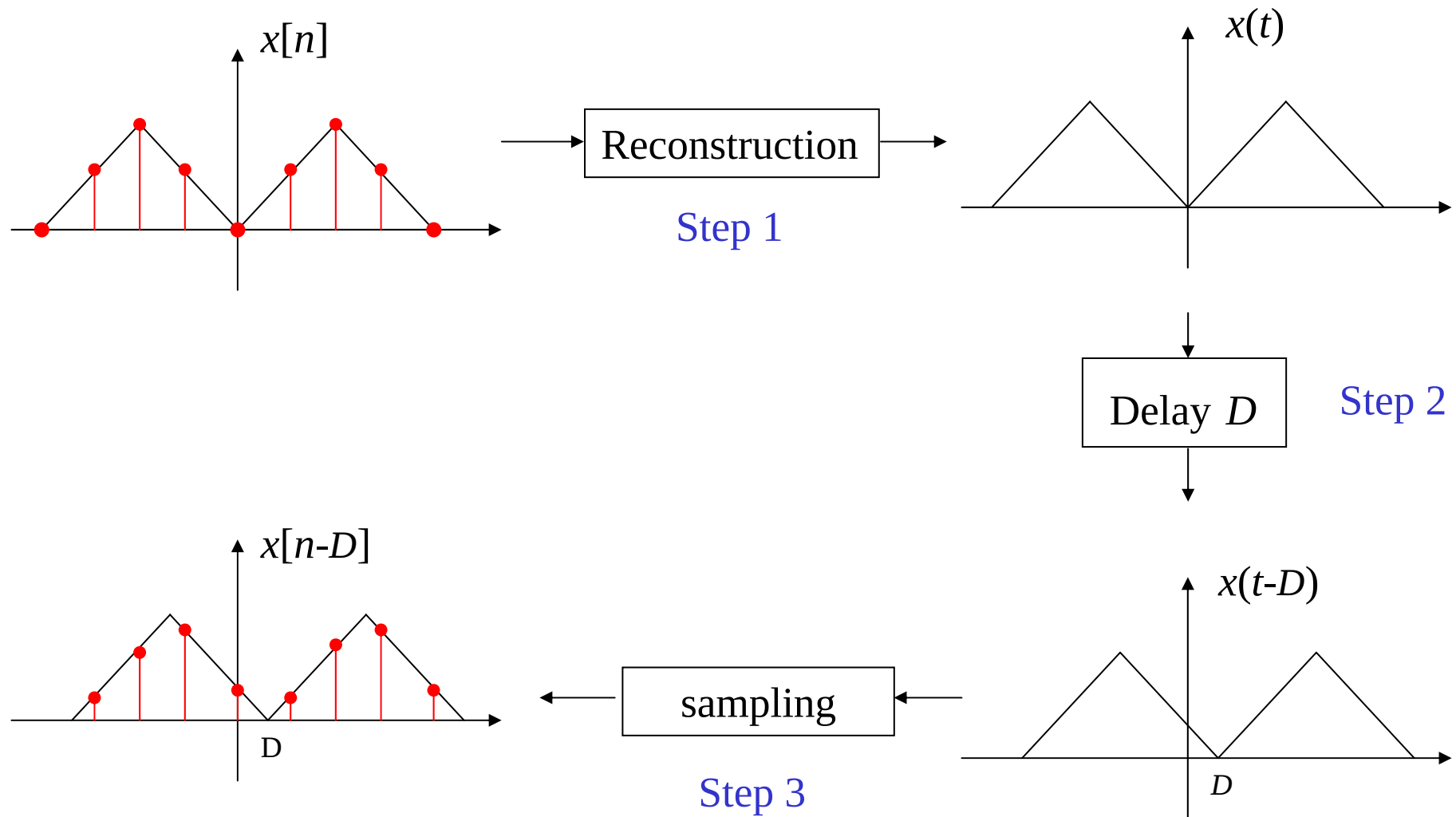
Fractional Delay-Problem



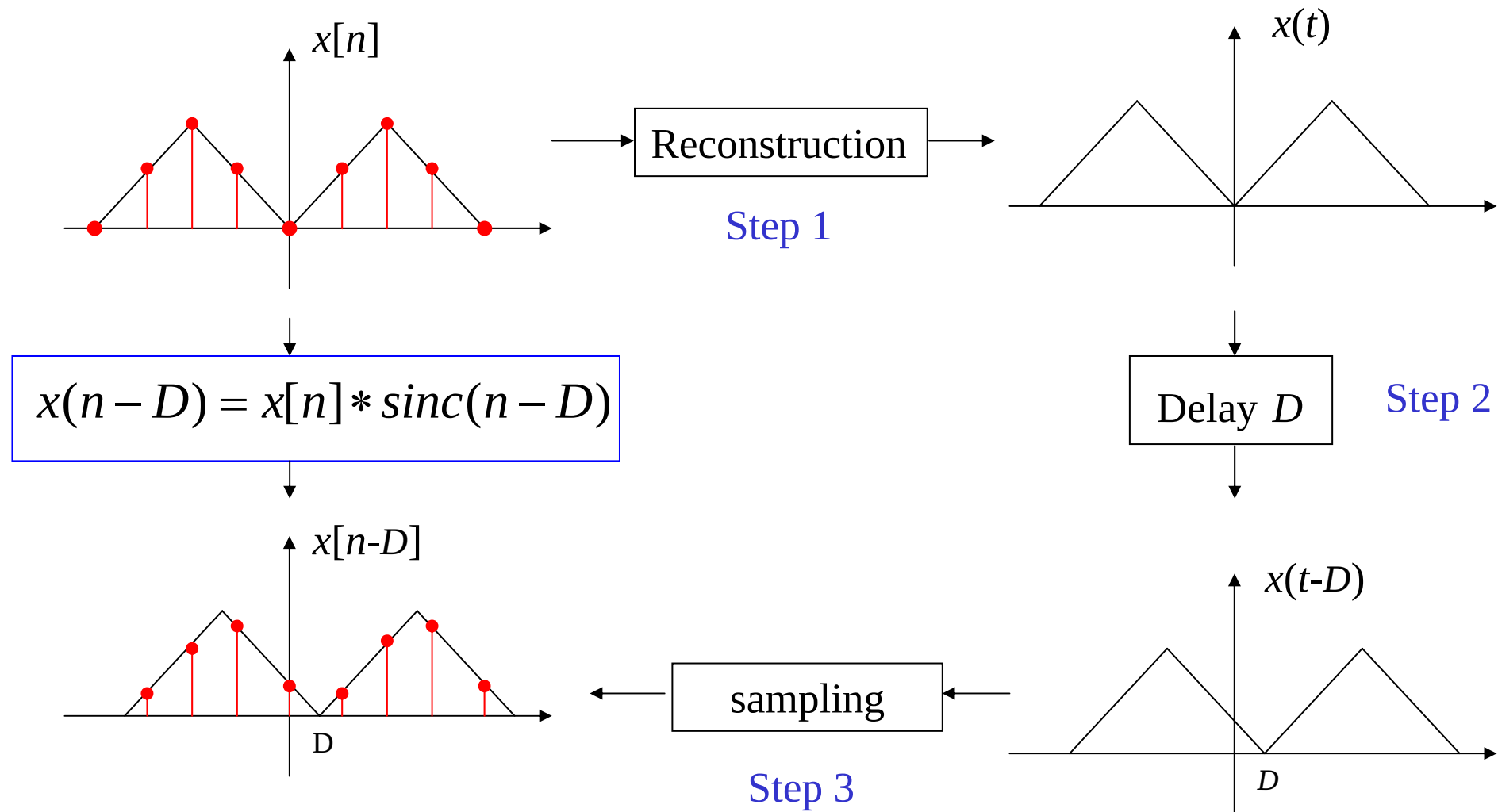
The problem is how to obtain $x[n-D]$ from $x[n]$ directly, where D is a fractional number.



Fractional Delay-Method

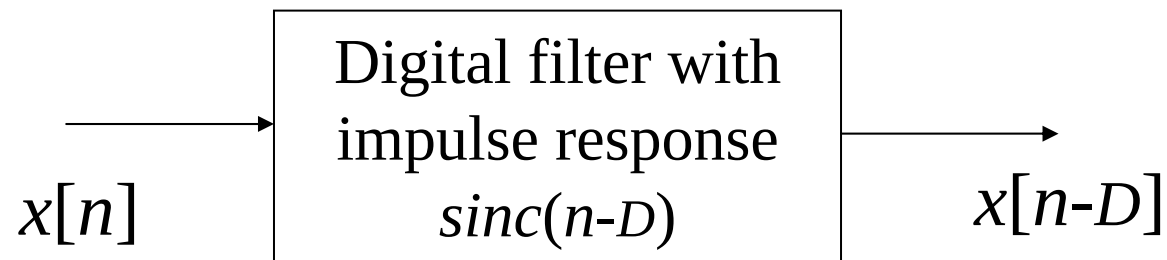


Fractional Delay-Method



Fractional Delay-Method

Fractional delay problem reduces to a digital filtering problem below:

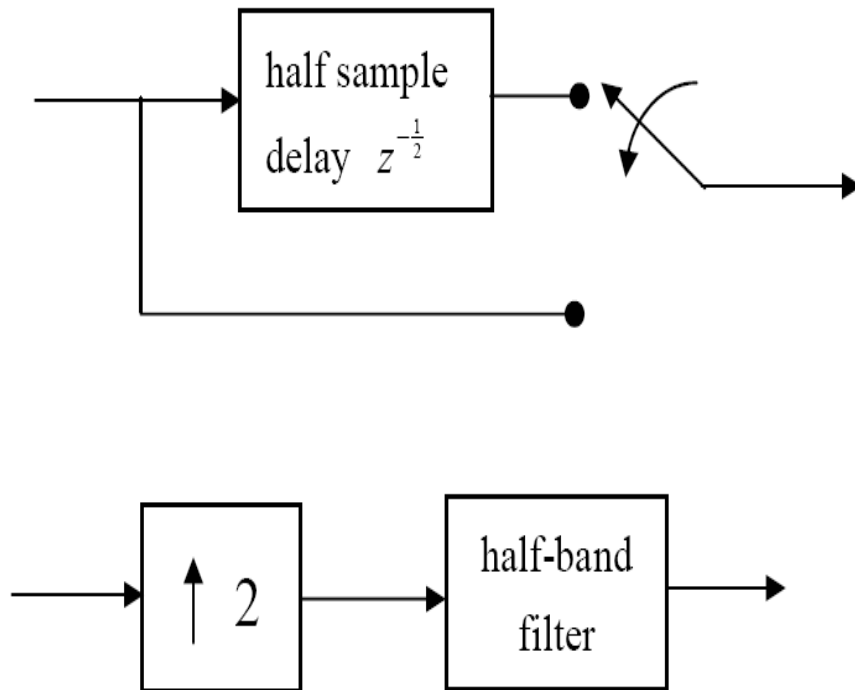


$$x[n - D] = x[n] * \text{sinc}(n - D)$$

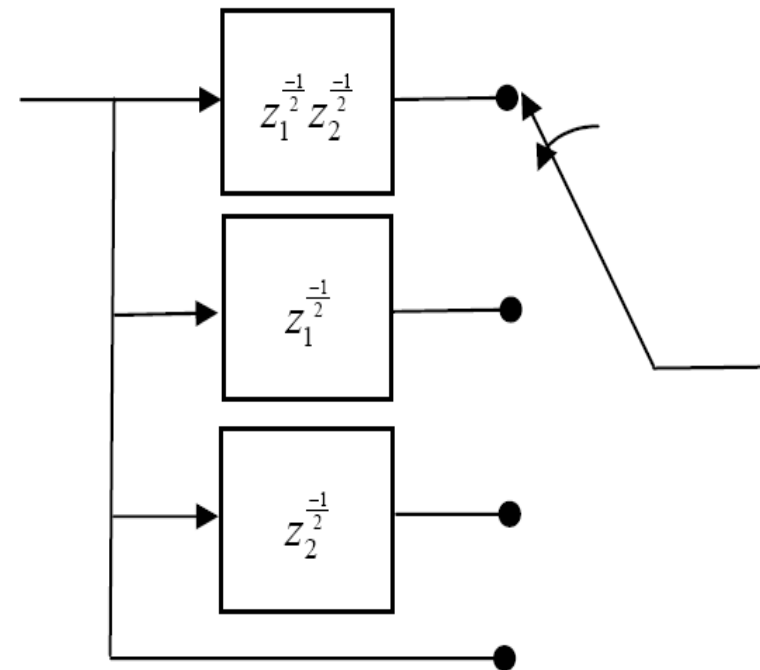


Fractional Delay-Application

1-D:



2-D:



Half-sample delay for doubling sampling rate



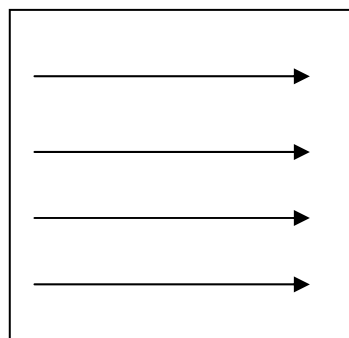
Fractional Delay-Application



256 x 256



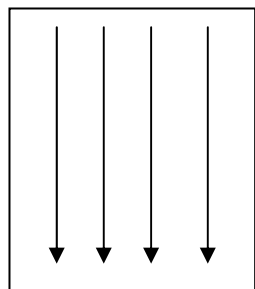
Fractional Delay-Application



256 x 512



Fractional Delay-Application



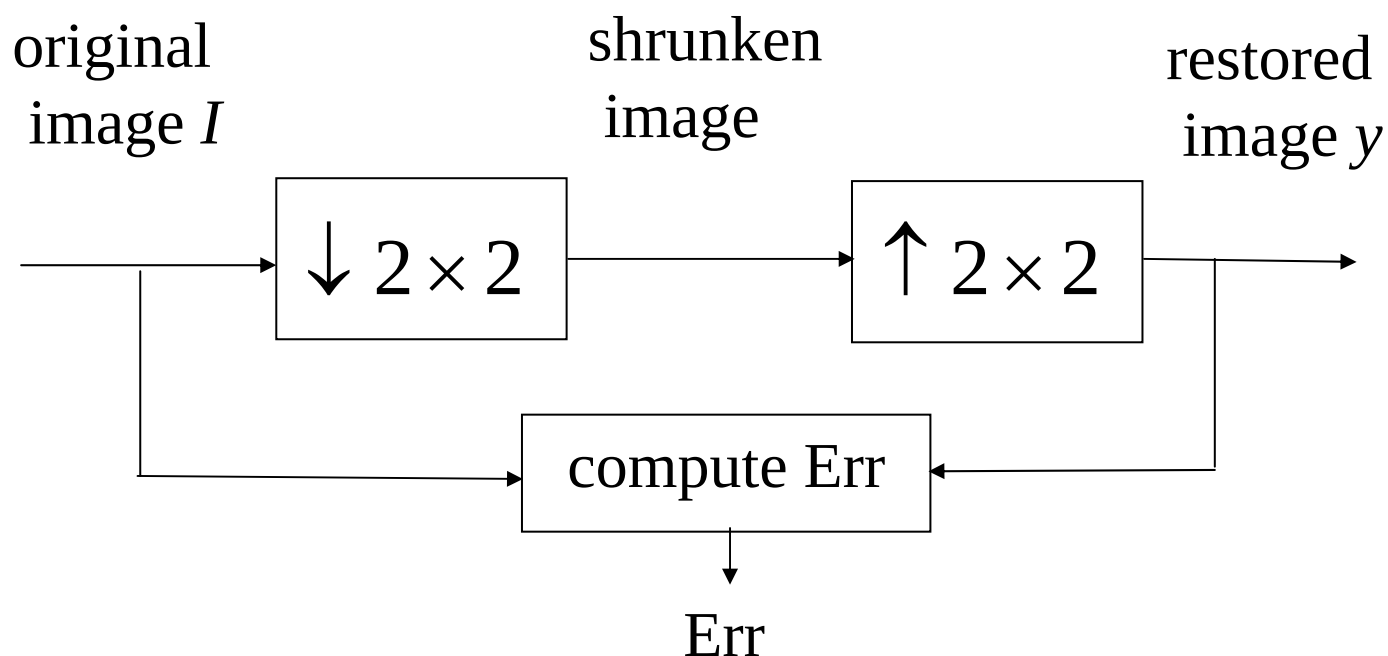
512 x 512



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Fractional Delay-Application



$$Err = \left(\frac{\sum_{n_1=1}^{512} \sum_{n_2=1}^{512} [I(n_1, n_2) - y(n_1, n_2)]^2}{\sum_{n_1=1}^{512} \sum_{n_2=1}^{512} [I(n_1, n_2)]^2} \right)^{\frac{1}{2}} \times 100 \%$$



Fractional Delay-Application

The NRMS errors Err in the image magnification experiment.

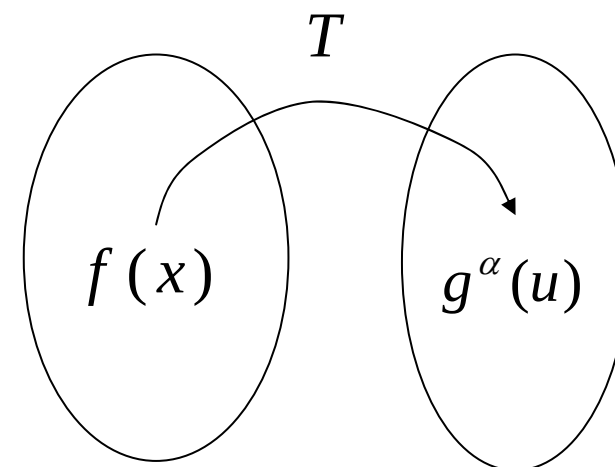
	Nearest neighbor	Bilinear method	Fractional delay
Lena	7.3574 %	6.3303 %	4.6149 %
Mandrill	17.8948 %	16.0415 %	14.2048 %
Lake	10.5238 %	9.5119 %	7.8363 %
Airplane	6.9903 %	5.7866 %	5.1594 %
Aerial	10.2050 %	8.5044 %	6.4829 %



Fractional Fourier Transform (1)

Fractional Fourier transform:

$$g^{\alpha}(u) = \int_{-\infty}^{\infty} f(x) K^{\alpha}(x, u) dx$$



where

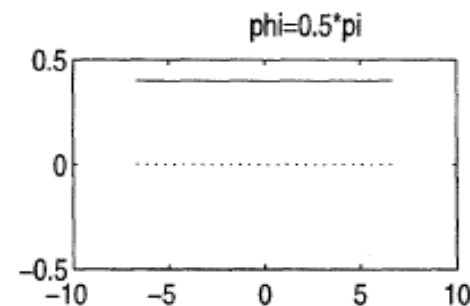
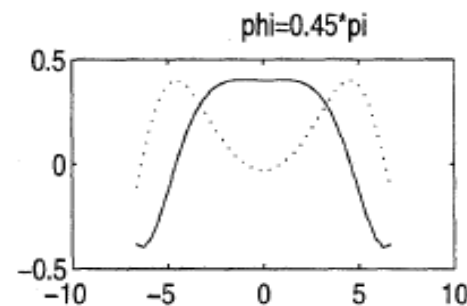
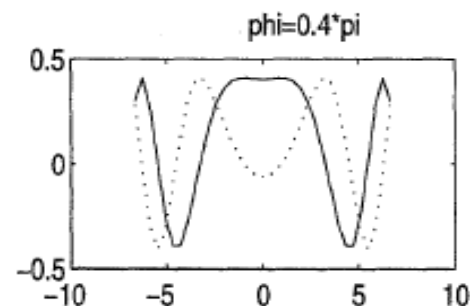
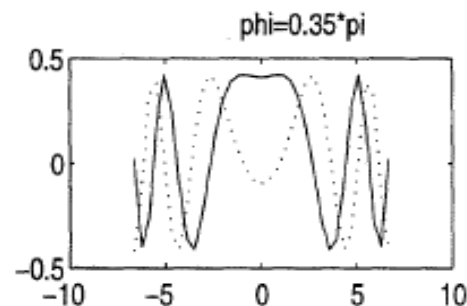
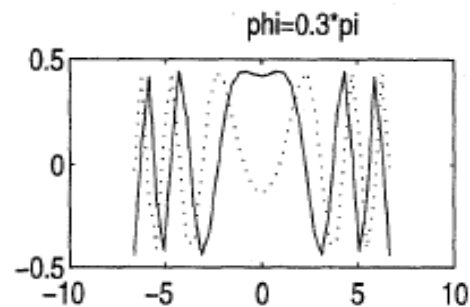
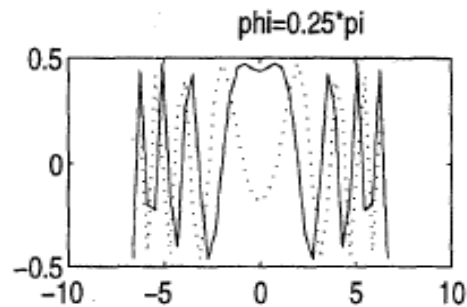
$$K^{\alpha}(x, u) = \sqrt{\frac{1 - j \cot \phi}{2\pi}} e^{j \frac{x^2 + u^2}{2} \cot \phi - jxu \csc \phi}$$

$$\phi = \frac{\alpha\pi}{2}$$

Chirp basis



Fractional Fourier Transform (2)



$$f(x) = \delta(x)$$

FrFT

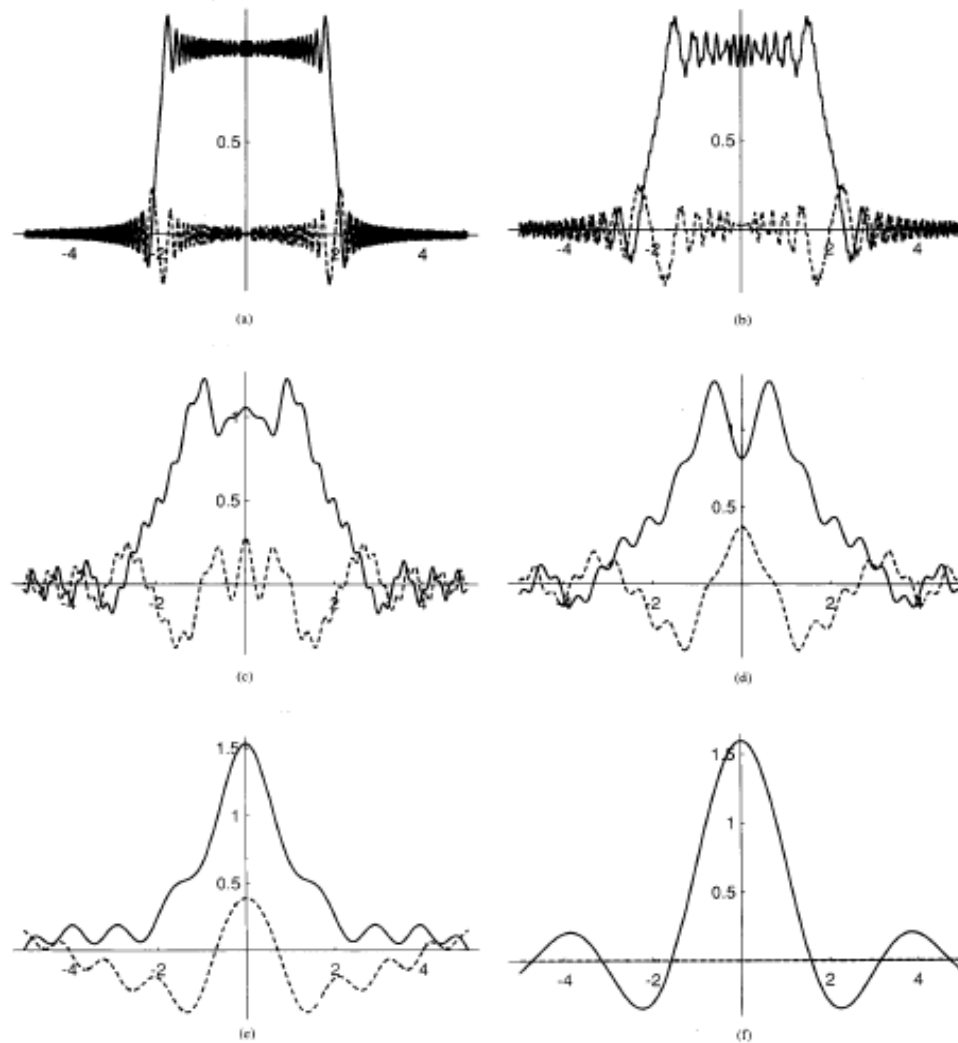
$$T^{\alpha}(f(x))$$

$$= g^{\alpha}(u)$$

$$= \sqrt{\frac{1 - j \cot \phi}{2\pi}} e^{j \frac{u^2}{2} \cot \phi}$$



Fractional Fourier Transform (3)



Fractional Fourier Transform (4)

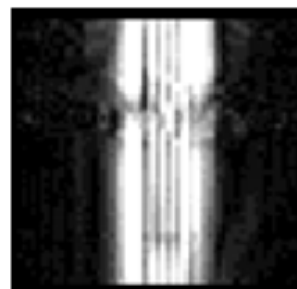
$$\alpha = 0, \beta = 0$$



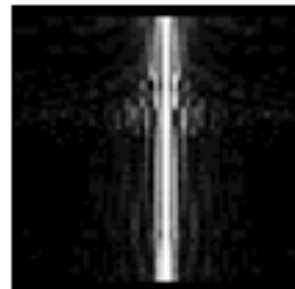
$$\alpha = \frac{\pi}{6}, \beta = 0$$



$$\alpha = \frac{\pi}{3}, \beta = 0$$



$$\alpha = \frac{\pi}{2}, \beta = 0$$



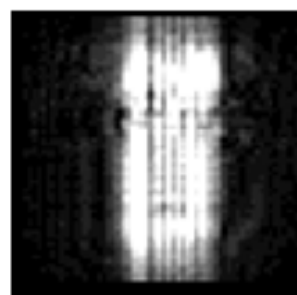
$$\alpha = 0, \beta = \frac{\pi}{6}$$



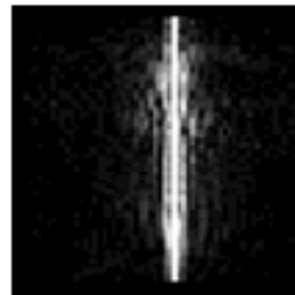
$$\alpha = \frac{\pi}{6}, \beta = \frac{\pi}{6}$$



$$\alpha = \frac{\pi}{3}, \beta = \frac{\pi}{6}$$

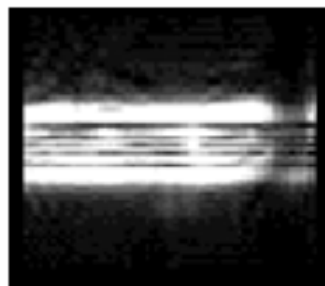


$$\alpha = \frac{\pi}{2}, \beta = \frac{\pi}{6}$$

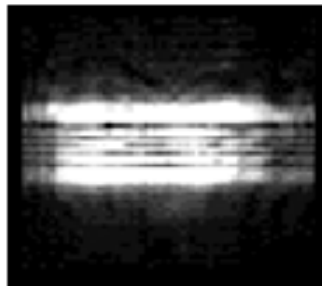


Fractional Fourier Transform (5)

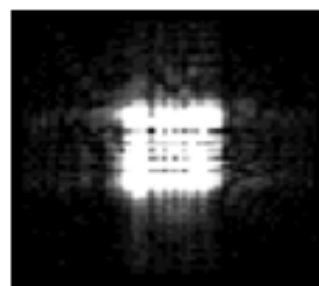
$$\alpha = 0, \quad \beta = \frac{\pi}{3}$$



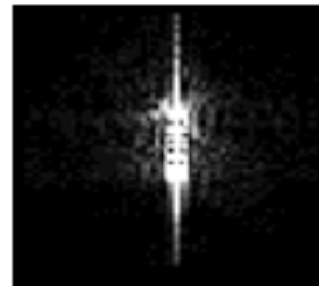
$$\alpha = \frac{\pi}{6}, \quad \beta = \frac{\pi}{3}$$



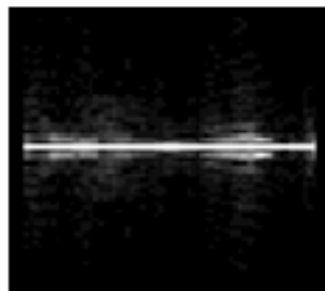
$$\alpha = \frac{\pi}{3}, \quad \beta = \frac{\pi}{3}$$



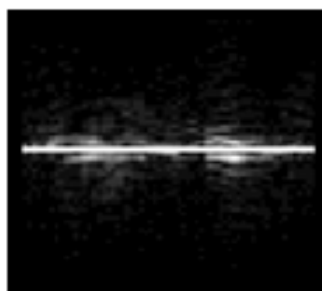
$$\alpha = \frac{\pi}{2}, \quad \beta = \frac{\pi}{3}$$



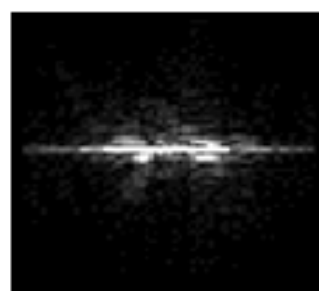
$$\alpha = 0, \quad \beta = \frac{\pi}{2}$$



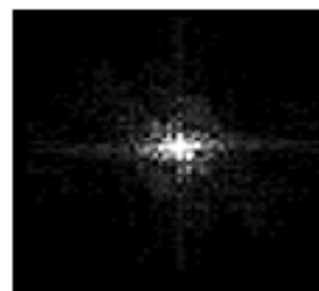
$$\alpha = \frac{\pi}{6}, \quad \beta = \frac{\pi}{2}$$



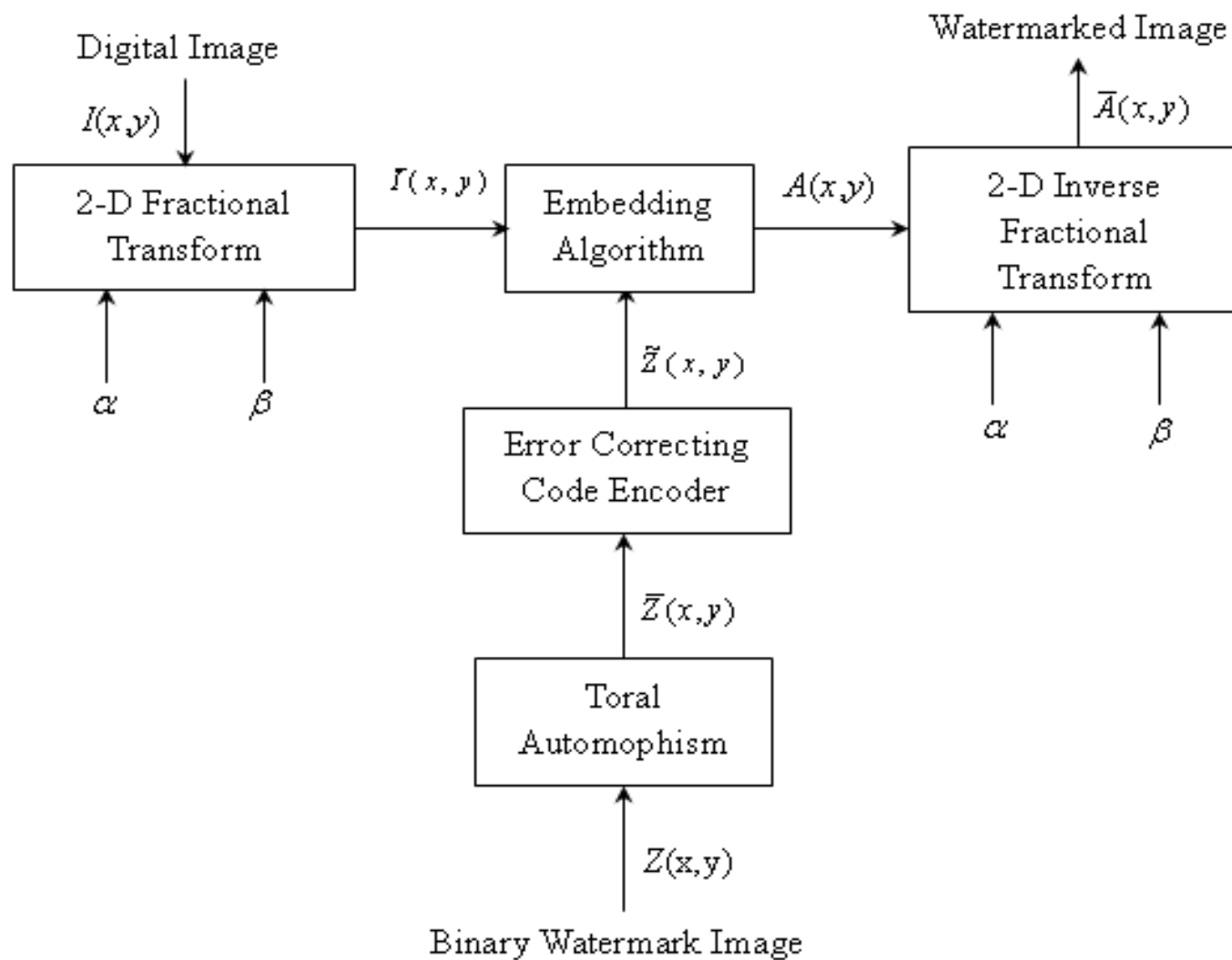
$$\alpha = \frac{\pi}{3}, \quad \beta = \frac{\pi}{2}$$



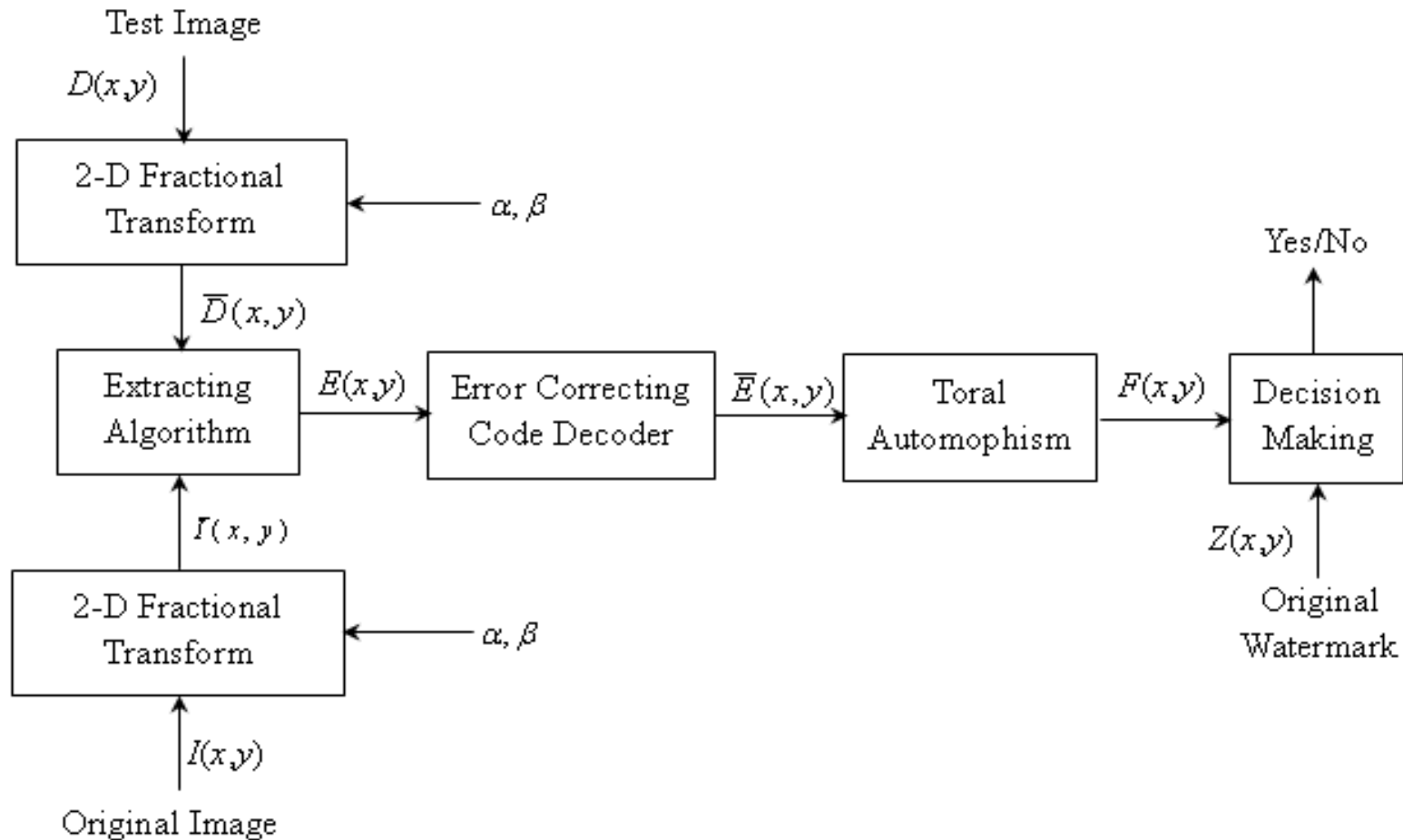
$$\alpha = \frac{\pi}{2}, \quad \beta = \frac{\pi}{2}$$



Fractional Fourier Transform (6)



Fractional Fourier Transform (7)



Fractional Fourier Transform (8)

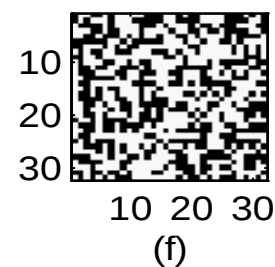
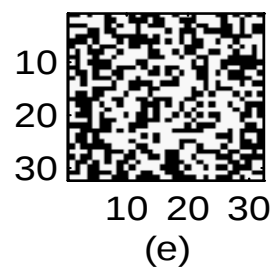
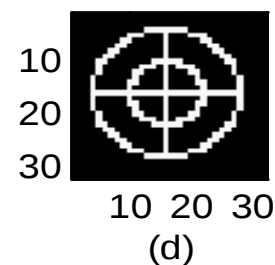
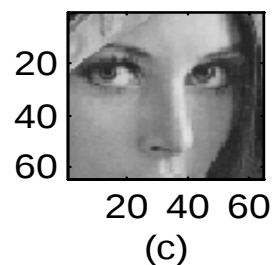
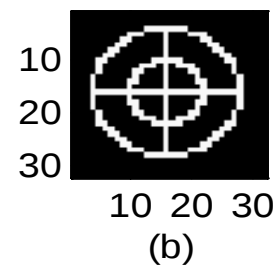
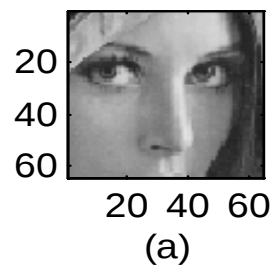
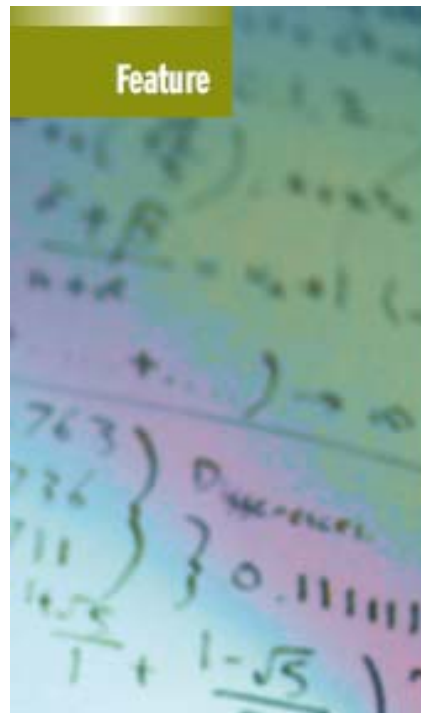


Image watermarking in the fractional DFT domain. (a) Original image (b) Original watermark (c) Watermarked image with $(\alpha, \beta) = (0.3, 0.3)$ (d) Extracted watermark with $(\alpha, \beta) = (0.3, 0.3)$ (e) Extracted watermark with $(\alpha, \beta) = (0, 0)$ (f) Extracted watermark with $(\alpha, \beta) = (0.5, 0.7)$.



Conclusion

Invite you to join fractional world !!!



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Manuel Duarte Ortigueira

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